



February 5, 2003

Mayor Wesely and City Council
City of Lincoln
City County Building
Lincoln, NE

Mayor Wesely and Members of the City Council:

An investigation has been made regarding the application of Chad & Kira Goertzen, d.b.a. Zen's, 122 North 11th Street requesting a class C liquor license. This location was previously known as Rogues Gallery, which held a class I liquor license.

The Goertzen's have requested that Chad Goertzen be approved as the manager of the liquor license.

Background information on the applicants is as follows:

Chad Goertzen was born in Aurora, Nebraska. He attended Aurora High School graduating in 1987.

Chad Goertzen employment history is as follows:

- 1997 – 2003 General Manager, Rogues Gallery Lincoln, NE.
- 1995 -- 1997 Bartender, Crane River Lincoln, NE.
- 1993 - 1995 Manager, Billy Froggs Lincoln, NE

Kira Goertzen was born Lincoln, Nebraska. She attended Norris High School, Firth, Nebraska graduating in 1993.



Liquor License Business Report / Completed by Inv Fosler Date: 2-5-03

DBA: Z E W S

ADDRESS 122 N 11TH PHONE 475-2929

TYPE OF INVESTIGATION:

PURCHASE UPGRADE EXPANSION NEW
 OWNER MANAGER OTHER

TYPE OF BUSINESS REST / BAR

CLASS: A B C D I J K CATERING OTHER

OWNERSHIP CORPORATION PARTNERSHIP INDIVIDUAL

PURCHASE PRICE 58,000 PROPERTY EQUIPMENT VALUE

AMOUNT FINANCED 20,000 SOURCE Pinnacle

COLLATERAL NO COSIGNER(S) Charles Sevelin

LEASE AGREEMENT 2yr with options \$2000⁰⁰

EST INCOME %FOOD — %LIQUOR 100

COMMERCIAL INDUSTRIAL RESIDENTIAL

TRAFFIC Heavy PARKING on-street

READY FOR OPERATION: YES NO, EST DATE

FOOD SERVICE NONE # OF EMPLOYEES F/T 2 P/T 4

DOES LICENSE COMPLY WITH LEGAL DISTANCES YES
 NO

EST SEATING 127 EST # DAILY CUSTOMERS 50-120

HOURS OF OPERATION M-SAT 7pm-1am SUN 6pm-1pm

HUMAN RIGHTS COMMISSION CHECKED- YES NO N/A

Liquor License Investigation

Business (DBA) ZEN'S

Manager Owner Other _____

Name: CHAD GOERTZEN

US Citizen? Yes No

Has applicant ever been cited for liquor law violations? No Yes
Explain _____

Does applicant have an interest in another liquor license? No Yes
Explain _____

Is spouse qualified to hold a license? Yes No N/A

How is applicant if not an owner to be paid? Salary Hourly

How many hours will applicant be at the establishment? 60

Any other employment? No Yes, explain _____

Any previous experience with a liquor license? Yes No

Any criminal convictions? No Yes
Comments _____

Is applicant a property owner in Lincoln? Yes No

Is applicant involved in any civil litigation? No Yes
Comments _____

Photo Records Check References

Comments BORN IN AURORA NEBR.

Interview Date 2/5/03

STATE OF NEBRASKA

Set date 1-27
Pr. 2-27-03



Mike Johanns
Governor

January 28, 2003

Class C #58351

City Clerk of Lincoln
City/County Building
555 S 10 Street
Lincoln, NE 68508

A3-011564

NEBRASKA LIQUOR CONTROL COMMISSION
Forrest D. Chapman
Executive Director

301 Centennial Mall South, 5th Fl.
PO Box 9504
Lincoln, Nebraska 68509-5004
Phone (402) 471-2577
Fax (402) 471-2577
TDD USER 800 833-7352 (TDD)

Heintz, Chad + KIRA
due 2/28
132 N 11th St
Class C

FILED
CITY OF LINCOLN
NEBRASKA
JUN 29 PM 2 30

Dear Local Governing Body:

Attached is the form to be used on all retail liquor license applications. Local clerks must collect proper license fees and occupation tax per ordinance, if any, before delivering the license at time of issuance.

TWO KEY TIME FRAMES TO KEEP IN MIND ARE:

- 1) You have 45 days to conduct a hearing after the date of receipt of the notice from this Commission (§53-134). You may choose NOT to make a recommendation of approval or denial to our Commission.

PER §53-133, THE LIQUOR CONTROL COMMISSION SHALL SET FOR HEARING ANY APPLICATION WHEREIN:

- 1) There is a recommendation of denial from the local governing body.
- 2) A citizens protest; or
- 3) Statutory problems that the Commission discovers.

PLEASE NOTE...A LICENSEE MUST BE "PROPERLY" LICENSED IN ORDER TO PURCHASE FROM WHOLESALERS; AND, A LICENSE IS EFFECTIVE:

- 1) Upon payment of the license fees.
- 2) Physical possession of the license;
- 3) Effective date on the license.

Sincerely,

NEBRASKA LIQUOR CONTROL COMMISSION

Jackie B. Matulka
Licensing Division

Enclosures
Rhonda R. Flower
Commissioner

Bob Logsdon
Chairman

R.L. (Dick) Coyne
Commissioner

All Equal Opportunity Affirmative Action Employer

Printed with 50% ink on recycled paper

FORM 35-4001
REV. 12 99

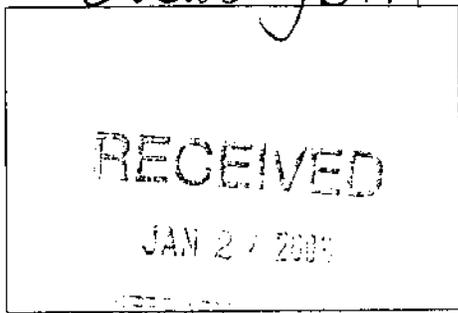
07 58551

LOCAL-jdm

APPLICATION FOR LICENSE

Nebraska Liquor Control Commission
PO Box 95046, 301 Centennial Mall South
Lincoln, NE 68509-5046

http://www.nlc.org/home/NLCC/
Phone: (402) 471-2571
Fax: (402) 471-2814



INSTRUCTIONS: Include: 1. Applicable fees payable to Liquor Control Commission 2. Copy of birth certificate or naturalization papers proving U.S. citizenship for each individual and spouse named on application (not required of corporations or spouse(s) who file an affidavit of no interest with application, Commission form 4178 3. Corporations must include copy of articles of incorporation as filed with the Secretary of States office in the state of Nebraska 4. Commission checklist, form 4251 5. Fingerprint cards and processing fees (are required of individuals, all partners and spouses, Corporate applicants must file for CEO/Manager & stockholders/member holding over 25% stock/interest. 6. All applications must be typewritten or printed clearly. 7. Submit in TriPLICATE

CLASS OF LICENSE FOR WHICH APPLICATION IS MADE AND LIST OF FEES FOR EACH

Table with 4 columns: Class of License (Check applicable class), Registration Fee, License Fees, Corporate Surety Bond. Rows include A Beer, On Sale Only - Inside Corporate Limits, F Beer, On Sale Only - Outside Corporate Limits, B Beer, Off Sale Only, J Wine, Beer, On Sale Only - Inside Corporate Limits, I Spirits, Wine, Beer, On Sale Only - Inside Corporate Limits, D Spirits, Wine, Beer, Off Sale Only - Inside Corporate Limits, DI Spirits, Wine, Beer, Off Sale only - within extraterritorial zoning jurisdiction, X C Spirits, Wine, Beer On & Off Sale - Inside Corporate Limits, M Bottle Club (Spirits, Wine, Beer, on Sale), H Nonprofit Corporation, K Wine Only, Off Sale, O Boat, V Manufacturer of Beer, Wine & Distilled Spirits, X Wholesale Liquor, W Wholesale Beer, Y Farm Winery, L Craft Brewery (Brew Pub).

TYPE OF APPLICATION

CORPORATE SURETY BOND INFORMATION

Type of application being applied for (place appropriate number in box)

2

- 1= Individual License requires Form 1 to be attached.
2= Partnership License requires Form 2 to be attached.
3= Corporate License requires Form 3 and 4 and Manager Application be attached.

Bond Company - for Classes L V W X Y only

[Empty box for Bond Company]

Start Date Month/Day/Year

[Empty box for Start Date]

Bond Number

[Empty box for Bond Number]

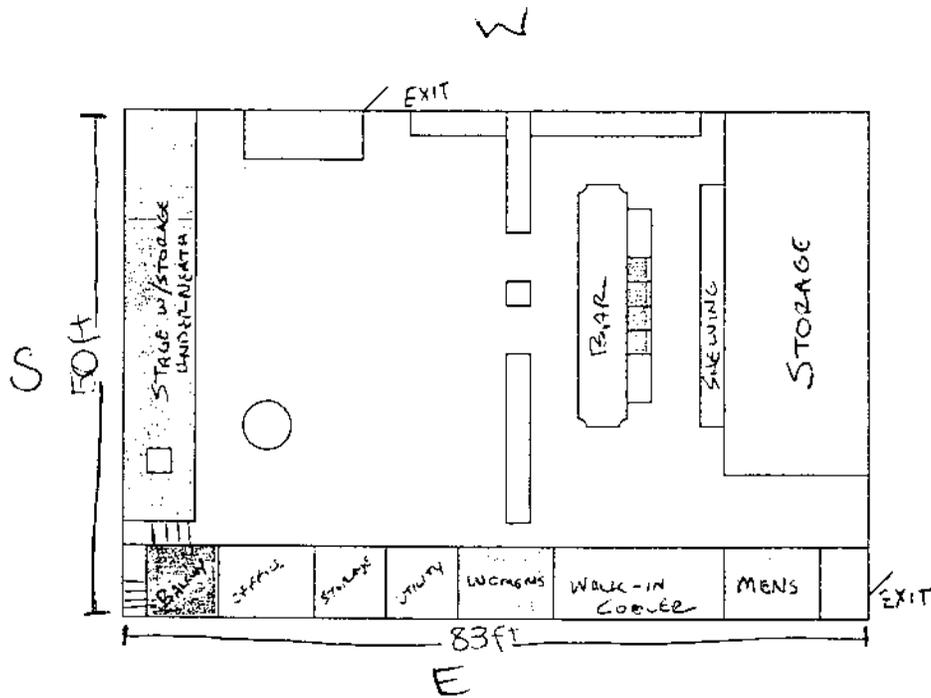
1-2163
Bridgette
No Social Security required

SECTION A - LOCATION INFORMATION - Must be completed by all applicants

Form with fields for Trade Name (Zen's), Telephone Number (402-475-2929), Street Address (122 N. 11th), Mailing Address (1235 Otter Street), City (Lincoln), County (Lancaster), Zip Code (68502).

Business Unit

	Yes	No	Explanation/Comments
2. Are you buying the business and/or assets of a licensee? If yes, submit a copy of the sales agreement with a listing of assets being acquired including liquor inventory (name brand and container size required).	X		
3. Are you filing a temporary agency agreement, Commission form 4231, whereby current licensee allows you to operate on their license? If yes, attach copy.	X		replacing 15009
4. Are you borrowing any money from any source to establish and/or operate the business? If yes, list the lender.	X		Pinnacle Bank see Don Kucera
5. Will any person or entity other than licensee be entitled to a share of the profits of the establishment? If yes, explain.		X	
6. Will any of the furniture, fixtures and equipment to be used in this business be owned by others? If yes, list such items and the owner.	X		see list
7. Will any person(s) other than named in this application have any direct or indirect ownership or control of the business? If yes, explain?		X	
8. Are the premises to be licensed within 150 ft. of a church, school, hospital, home for the aged or indigent persons or for veterans, their wives, children, or within 300 ft. of a college or university campus? If yes, list the name of such institution and where it is located in relation to the premises. Per Sec. §53-177.		X	
9. Is anyone listed on this application a law enforcement officer? If yes, list the person, the law enforcement agency involved and the persons exact duties.		X	
10. List the primary bank and/or financial institution (branch if applicable) to be utilized by the business and the person(s) who will be authorized to write checks and/or make withdrawals on accounts at such institutions.			Pinnacle Bank Deshmahan (14th & N) Branch Chad & Kira Gertzen
11. List all past and present liquor licenses held by any person named in this application. Include license holder name, location of license and license number. Also list reasons for termination of any licenses previously held.			None
12. List the person who will be the on site supervisor of the business and the estimated number of hours per week such person or manager will be on the premises supervising operations.			Chad Gertzen 50 hrs Chad Gertzen 50 hrs
13. List the training and experience of the person listed in #12 above in connection with selling and/or serving alcohol products.			No Training Required 6 yrs bartending in various locations 20 yrs Liquor Retailer, 4 yrs Rogers Liquor (4 yrs Manager) 2 yrs manager, 2 yrs F&B, 2 yrs State Liquor Retailer/Wholesale experience (personal and bus)
14. If the property for which this license is sought is owned, submit a copy of the deed, or proof of ownership, if leased submit a copy of the lease covering the entire license year. (Documents must show title or lease held interest in name of applicant as owner or lessee in the individual(s) or corporate name for which the application is being filed).			Attached
14. When do you intend to open for business?			Feb. 1, 2003



RECEIVED
 JUN 27 2007
 MISSOURI DEPARTMENT OF
 PUBLIC SAFETY

NE portion of Main floor of 4 story
 building
 No Basement Access

NEBRASKA LIQUOR CONTROL COMMISSION
Partnership Application
 for License
 FORM 2

RECEIVED
 JAN 27 2003
 NEBRASKA LIQUOR
 CONTROL COMMISSION

- INSTRUCTIONS:
- 1) The Person listed as the primary partner will be the person with whom the Nebraska Liquor Control Commission will correspond
 - 2) At least one partner must meet residency requirements with the State of Nebraska
 - 3) Each Applicant and Spouse must attach fingerprint cards (2 cards per person) and proper fees
 - 4) All applications must be typewritten or printed clearly and submitted in triplicate
 - 5) All partners must be citizens of the United States

PRIMARY PARTNER

Partner Name (Last, First, Middle, Maiden). List any Previous Names or Aliases Used. Social Security Number Date of Birth

Applicant's Home Address (1) Applicant's Home Address (2) Percentage of Ownership

City County Zip Code Driver's License Number State

Home Telephone Number Business Telephone Number Check Type of Partnership
 Joint Venture General Partnership Limited Partnership

ARE YOU MARRIED? YES IF YES, PLEASE COMPLETE.
 Spouse's Name (Last, First, Middle, Maiden). List Any Previous Names or Aliases Used
 Spouse's Social Security Number Spouse's Date of Birth

Spouse's Driver's License Number State

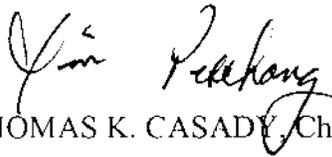
Continue on Reverse and List Remaining Partners

Printed on recycled paper

Kira Goertzen employment history is as follows:

2002 - 2003	Cashier, Target	Lincoln, NE.
2001 - 2002	Clerk, Department of Roads	Lincoln, NE.
2000 - 2001	Assistant, Executive Travel	Lincoln, NE.
1999 - 2000	Intern, Concorde Hospitality	Lincoln, NE.

If this application is approved, it should be with the understanding that it conforms to all the rules and regulations of Lincoln, Lancaster County and the State of Nebraska.



THOMAS K. CASADY, Chief of Police