CITY OF LINCOLN
NEBRASKA

Lincoln Police Department
Thomas K. Casady, Chief of Police
575 South 10th Street
Lincoln, Nebraska 68508

402-441-7204
fax: 402-441-8492

MAYOR CHRIS BEUTLER

lincoln.ne.gov

LINCOLN
The community of opportunity

July 12, 2010

Mayor Beutler and City Council
City of Lincoln
City County Building
Lincoln, NE

Mayor Beutler and Members of the City Council:

An investigation has been made regarding the application of Trader Joe's, 3210 Pine Lake Road requesting a class C liquor license.

Trader Joe's started in 1967 with the first store opening in Pasadena, California. They currently have approximately 300 locations through out the United States. A timeline of the stores has been included for your review.

Joseph English has requested that he be approved as the temporary manager of the liquor license. A permanent manager will apply closer to the opening date of the store.

Mr. English is an approved liquor license manager in the state of Illinois.

The required training will be completed on September 9th 2010.

Stockholder information has been included for your review.

If this application is approved, it should be with the understanding that it conforms to all the rules and regulations of Lincoln, Lancaster County and the State of Nebraska.

THOMAS K. CASADY, Chief of Police

TRADER JOE'S *what a trip...*

1960s

1967 The first Trader Joe's opened its doors in Pasadena, California. Still there today - same spot, same parking lot.

If you were into wine - especially California wines - Trader Joe's was *the* place to go. Still is. But back in the late 1960s, we sold *every* California wine there was.

1969 The first Hawaiian shirt was worn. Okay maybe it was the second or third...? Well, it was definitely one of the loudest & may have led to the trademark Trader Joe's attire.

1970s

1970 Trader Joe's first customer newsletter - "The Insider Report"- was introduced. In it we told stories about wines we sold. Customers coveted it. They even paid 5 cents for it.

1972 We launched the first Trader Joe's private label grocery product – granola. How down to earth.

1973 "Still trying to find ourselves" - a butcher shop, nuts in barrels, magazines & pantyhose – oh, yes, you could've found all of these items at your local Trader Joe's. (Seriously, pantyhose.)

1975 A decorative maritime bell was used to get someone's attention – a signature communication system was born.

We started cutting & wrapping cheese for the first time. The stores smelled great.

1977 Trader Joe's, Trader Mings, Trader Jose, Trader Giotto, Pilgrim Joe – having fun (as usual) while we expanded our private label concept

The first reusable "Save-A-Tree" brand canvas bag was introduced at Trader Joe's. Then re-used. We like to think it's still being used.

Our first private label organic item is introduced - Organic Unfiltered Apple Juice.

1978 We finally stop selling pantyhose. The unencumbered freedom is glorious.

1980s

1981 Trader Joe's was mentioned in a national magazine for our All American Nut Butter. Either it was a pretty slow news week or our nut butter was just that good. (It still is.)

1982 Our private label canned corn was first introduced to our stores. This classic with the original yellow label remains a popular product today (and it only costs a few more cents than it did in '82).

1984 Trader Joe's hit the airwaves - we started recording our own radio ads where we tell the behind-the-scenes stories about our products with a signature sign off - "Thanks for listening."

1985 Our newsletter changed from the "Insider Report" to the now iconic "Fearless Flyer," which is free & still remains the primary form of advertising for us today.

1988 We took our first big leap outside of Southern California into Northern California where we opened a store in the city of San Rafael. And it was a leap year.

1989 We finally stopped packaging up almonds and pistachios in our stores. The growers started shipping them to us pre-packaged in one-pound bags – a fresh idea for even fresher nuts!

WWW.1990S.COM

1991 Trader Joe's Mission Statement was written down... in chalk.

1992 We put Handles on our paper bags – how handy.

1993 We started opening our first stores outside of The Golden State, beginning in the Valley of the Sun, aka Phoenix, AZ.

1994 We contemplated the idea of planting cutting gardens in front of our stores. Okay, not really. But Trader Joe's did start selling fresh cut flowers.

A Crew Member in Santa Barbara dressed up as a giant pickle. We still don't know why.

1995 We opened our first stores in the Pacific Northwest - starting in Beaverton, OR and shortly thereafter in Bellevue, WA... Later that year, Nevada, the 36th state in the union, became home to the 70th Trader Joe's, as we opened a neighborhood grocery store in the city of Henderson.

1996 www.traderjoes.com went live. We featured one piece of animation on our homepage – a man swinging from a hot air balloon, presumably searching the world for new items.

1997 Our real estate guy traveled from California to Boston for the first time and opened the sunroof of his rental car. In February. With 5 inches of snow on top of it. In a much smarter move, we opened our first EC store in Brookline, MA. Dancing in the aisles ensued (literally).

We started consistently introducing about 10 new items every week… There really is always something new at Trader Joe's. Grocery shopping – boring? We think not.

We introduced flow through registers to our stores enhancing the logistics of lines without compromising the quality of conversations.

2000s

2000 We opened our first two Midwest stores. One in Chicagoland in Glen Ellyn, Il & one in Northbrook, Il. On the same day.

2001 Price scanners were (finally) installed for quicker checkout.

2002 The first bottles of Charles Shaw wines were sold in Trader Joe's stores. A fast customer favorite and winner of medals in many competitions, it earned the endearing moniker, "Two Buck Chuck." Same price today.

2004 A spontaneous round of karaoke breaks out in the frozen aisle of our Oceanside store. Watch out *American Idol*.

2006 "Start spreading the news…" Trader Joe's opened in downtown Manhattan on St. Patrick's Day. Our very first customer bought an energy bar - that's it.

Later that year... Trader Joe's entered the southeast, a region known for its distinctive cuisine (makes sense), opened a store in Roswell, GA, followed shortly by Cary, NC.

2007 Trader Joe's made a commitment to eliminate added trans fats from all private label products (along with artificial colors, flavors, preservatives & GMO ingredients… but that's old news by now).

We introduced simple symbols on our packaging so our customers could easily identify the right product choices to fit their lifestyles.

2009 Trader Joe's included a timeline on the website.

and *then*...

APPLICATION FOR LIQUOR LICENSE

301 CENTENNIAL MALL SOUTH
PO BOX 95046
LINCOLN, NE 68509-5046
PHONE: (402) 471-2571
FAX: (402) 471-2814
Website: www.lcc.ne.gov/

45= 8/20

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CLASS OF LICENSE FOR WHICH APPLICATION IS MADE AND FEES
CHECK DESIRED CLASS(S)

RETAIL LICENSE(S)			Application Fee
☐	A	BEER, ON SALE ONLY	$45.00
☐	B	BEER, OFF SALE ONLY	$45.00
☑	C	BEER, WINE & DISTILLED SPIRTS, ON & OFF SALE	$45.00
☐	D	BEER, WINE & DISTILLED SPIRITS, OFF SALE ONLY	$45.00
☐	I	BEER, WINE & DISTILLED SPIRITS, ON SALE ONLY	$45.00
☐	Class K Catering license (requires catering application form)		$100.00

MISCELLANEOUS			Application Fee		Bond Required
☐	L	Craft Brewery (Brew Pub)	$295.00		$1,000 minimum
☐	O	Boat	$ 95.00		none
☐	V	Manufacturer			
	☐	Alcohol & Spirits	$1,045.00		$1,000 minimum
	☐	Beer (excluding produced by a craft brewery)	$145.00	1 to 100 barrel*	$1,000 minimum
	☐	Beer (excluding produced by a craft brewery)	$245.00	100 to 150 barrel*	$1,000 minimum
	☐	Beer (excluding produced by a craft brewery)	$395.00	150 to 200 barrel*	$1,000 minimum
	☐	Beer (excluding produced by a craft brewery)	$545.00	200 to 300 barrel*	$1,000 minimum
	☐	Beer (excluding produced by a craft brewery)	$695.00	300 to 400 barrel*	$1,000 minimum
	☐	Beer (excluding produced by a craft brewery)	$745.00	400 to 500 barrel*	$1,000 minimum
☐	W	Wholesale Beer	$545.00		$5,000 minimum
☐	X	Wholesale Liquor	$795.00		$5,000 minimum
☐	Y	Farm Winery	$295.00		$1,000 minimum
☐	Z	Micro Distillery	$295.00		$1,000 minimum

☐ Copy of TTB permit (if applying for L, V, W, X, Y or Z)

*daily capacity, average daily barrel production for the previous twelve months of manufacturing operation. If no such basis for comparison exists, the manufacturing licensee shall pay in advance for the first year's operation a fee of five hundred dollars

All Class C licenses expire October 31st
All other licenses expire April 30th
Catering license (K) expires same as underlying retail license

TYPE OF APPLICATION BEING APPLIED FOR (CHECK ONE)

☐ Individual License (requires insert form 1)
☐ Partnership License (requires insert form 2)
☑ Corporate License (requires insert form 3a & 3c)
☐ Limited Liability Company (requires form 3b & 3c)

NAME OF PERSON OR FIRM ASSISTING WITH APPLICATION
(commission will call this person with any questions we may have on this application)

Name MICHAEL ROSENTHAL Phone number: 781-455-7323

Firm Name TRADER JOE'S COMPANY

PREMISE INFORMATION

Trade Name (doing business as) TRADER JOE'S #722.

RECEIVED

Street Address #1 3120 PINE LAKE RD

JUN 28 2010

Street Address #2 SUITE R

NEBRASKA LIQUOR CONTROL COMMISSION

City LINCOLN County LANCASTER Zip Code 68516

Premise Telephone number TBD

Is this location inside the city/village corporate limits: ☒ YES ☐ NO

Mail address (where you want receipt of mail from the commission)

Name TRADER JOE'S COMPANY

Street Address #1 P.O. BOX 5049

Street Address #2

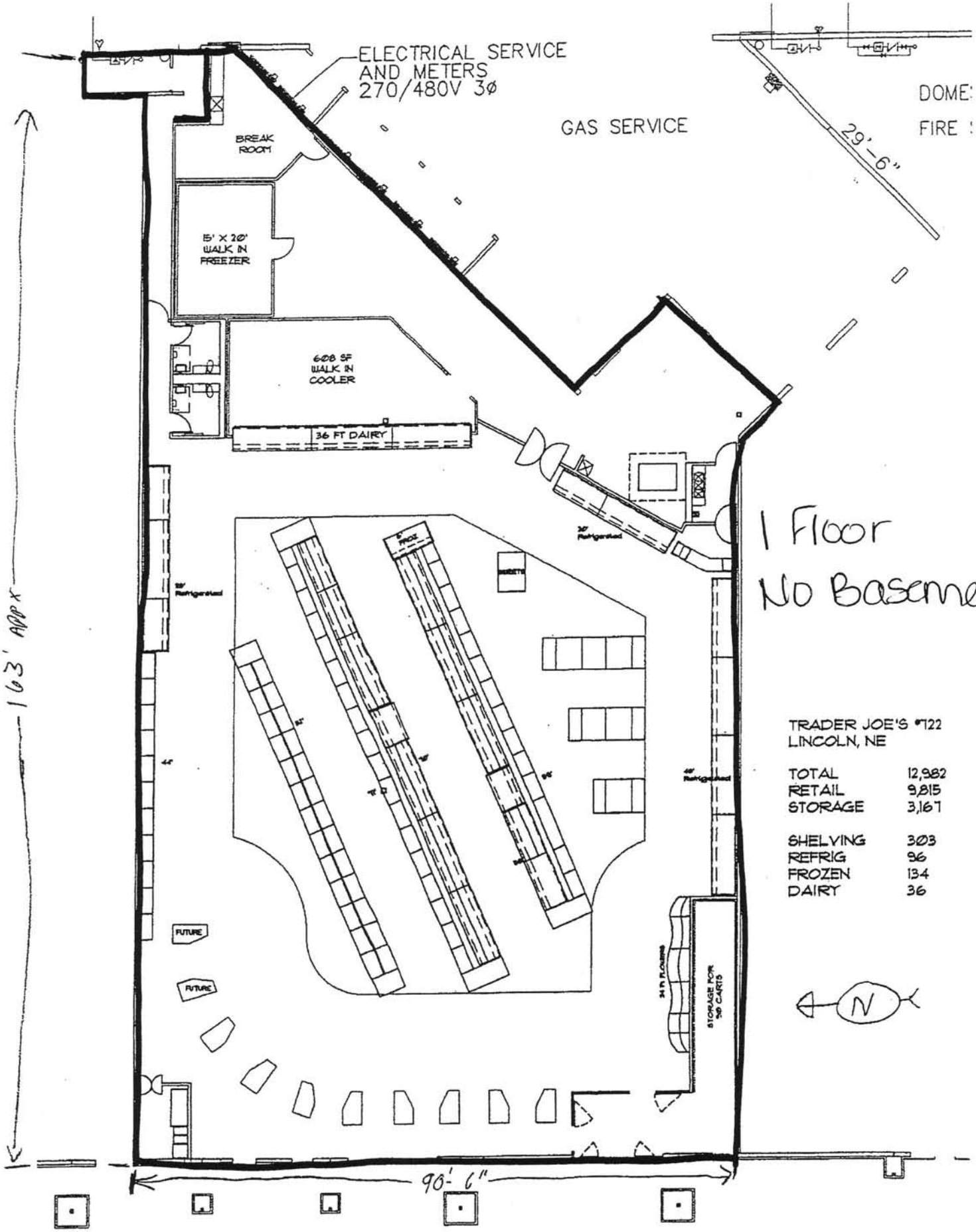
City MONROVIA State CA Zip Code 91017

DESCRIPTION AND DIAGRAM OF THE STRUCTURE TO BE LICENSED

In the space provided or on an attachment draw the area to be licensed. This should include storage areas, basement, sales areas and areas where consumption or sales of alcohol will take place. If only a portion of the building is to be covered by the license, you must still include dimensions (length x width) of the licensed area as well as the dimensions of the entire building in situations. No blue prints please. Be sure to indicate the direction north and number of floors of the building.

**For on-premise consumption liquor licenses minimum standards must be met by providing at least two restrooms

SEE ATTACHED



1 Floor
No Basement

TRADER JOE'S #722
LINCOLN, NE

TOTAL	12,982
RETAIL	9,815
STORAGE	3,167
SHELVING	303
REFRIG	96
FROZEN	134
DAIRY	36

APPLICANT INFORMATION

1. **READ CAREFULLY. ANSWER COMPLETELY AND ACCURATELY.**

Has anyone who is a party to this application, or their spouse, EVER been convicted of or plead guilty to any charge. Charge means any charge alleging a felony, misdemeanor, violation of a federal or state law; a violation of a local law, ordinance or resolution. List the nature of the charge, where the charge occurred and the year and month of the conviction or plea. Also list any charges pending at the time of this application. If more than one party, please list charges by each individual's name.

☐ YES ☑ NO

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If yes, please explain below or attach a separate page.

2. Are you buying the business and/or assets of a licensee?

☐ YES ☑ NO

If yes, give name of business and license number_____

a) Submit a copy of the sales agreement including a list of the furniture, fixtures and equipment.

b) Include a list of alcohol being purchased, list the name brand, container size and how many?

3. Are you filing a temporary agency agreement whereby current licensee allows you to operate on their license?

☐ YES ☑ NO

If yes, attach temporary agency agreement form and signature card from the bank.

This agreement is not effective until you receive your three (3) digit ID number from the Commission.

4. Are you borrowing any money from any source to establish and/or operate the business?

☐ YES ☑ NO

If yes, list the lender_____

5. Will any person or entity other than applicant be entitled to a share of the profits of this business?

☑ YES ☐ NO

If yes, explain. All involved persons must be disclosed on application.

6. Will any of the furniture, fixtures and equipment to be used in this business be owned by others?

☐ YES ☑ NO

If yes, list such items and the owner._____

7. Will any person(s) other than named in this application have any direct or indirect ownership or control of the business?

☐ YES ☑ NO

If yes, explain._____

No silent partners

8. Are you premises to be licensed within 150 feet of a church, school, hospital, home for the aged or indigent persons or for veterans, their wives, children, or within 300 feet of a college or university campus?

☐ YES ☑ NO

If yes, list the name of such institution and where it is located in relation to the premises (Neb. Rev. Stat. 53-177)

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9. Is anyone listed on this application a law enforcement officer?

☐ YES ☑ NO

If yes, list the person, the law enforcement agency involved and the person's exact duties

10. List the primary bank and/or financial institution (branch if applicable) to be utilized by the business and the individual(s) who will be authorized to write checks and/or withdrawals on accounts at the institution.

Wells Fargo Bank - Dan Bane - Sharon Drabeck

11. List all past and present liquor licenses held in Nebraska or any other state by any person named in this application. Include license holder name, location of license and license number. Also list reason for termination of any license(s) previously held.

See Attached List

12. List the training and/or experience (when and where) of the person(s) making application. Those persons required are listed as followed:

a) Individual, applicant only (no spouse)
b) Partnership, all partners (no spouses)
c) Corporation, manager only (no spouse)
d) Limited Liability Company, manager only (no spouse)

Name:	Date:	Where:
~~To be Determined~~ See Attached Mgr Form		

13. If the property for which this license is sought is owned, submit a copy of the deed, or proof of ownership. If leased, submit a copy of the lease covering the entire license year. Documents must show title or lease held in name of applicant as owner or lessee in the individual(s) or corporate name for which the application is being filed.

☑ Lease: expiration date 10 yrs - See lease
☐ Deed
☐ Purchase Agreement

14. When do you intend to open for business? 4th Quarter 2010
15. What will be the main nature of business? Retail Grocery
16. What are the anticipated hours of operation? 8 Am - 9 Pm.

17. List the principal residence(s) for the past 10 years for all persons required to sign, including spouses. If necessary attach a separate sheet.

RESIDENCES FOR THE PAST 10 YEARS, APPLICANT AND SPOUSE MUST COMPLETE					
APPLICANT: CITY & STATE	YEAR FROM	TO	SPOUSE: CITY & STATE	YEAR FROM	TO
Dan Bane - Pasadena, CA	1988	Present	Sandra Bane Pasadena, CA	1988	Present
Mitch Hegyon - Torrance, CA	1997	Present			
Bryan Palbaum - Encino, CA	2000	Present	Stacy Palbaum Encino, CA	2000	Present
Sharon Drabeck - Thousand Oaks, CA	2000	Present	David Drabeck, Thousand Oaks, CA	2000	Present

CALIFORNIA ALL-PURPOSE CERTIFICATE OF ACKNOWLEDGMENT

RECEIVED

JUN 28 2010

NEBRASKA LIQUOR CONTROL COMMISSION

State of California

County of Los Angeles

On June 11, 2010 before me, Erin M. Keating, Notary Public,
(Here insert name and title of the officer)

personally appeared Sandra N. Bane,

who proved to me on the basis of satisfactory evidence to be the person~~(s)~~ whose name~~(s)~~ is~~/are~~ subscribed to the within instrument and acknowledged to me that ~~he~~/she~~/they~~ executed the same in ~~his~~/her~~/their~~ authorized capacity~~(ies)~~, and that by ~~his~~/her~~/their~~ signature~~(s)~~ on the instrument the person~~(s)~~, or the entity upon behalf of which the person~~(s)~~ acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature of Notary Public

(Notary Seal)

ERIN M. KEATING
COMM. # 1845969
NOTARY PUBLIC - CALIFORNIA
LOS ANGELES COUNTY
My Comm. Expires April 24, 2013

ADDITIONAL OPTIONAL INFORMATION

DESCRIPTION OF THE ATTACHED DOCUMENT

Records Request, Liquor License Application
(Title or description of attached document)

State of Nebraska
(Title or description of attached document continued)

Number of Pages 11 Document Date 6/10/10

(Additional information)

CAPACITY CLAIMED BY THE SIGNER

- ☒ Individual (s)
- ☐ Corporate Officer
  (Title)
- ☐ Partner(s)
- ☐ Attorney-in-Fact
- ☐ Trustee(s)
- ☐ Other

INSTRUCTIONS FOR COMPLETING THIS FORM

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- Print the name(s) of document signer(s) who personally appear at the time of notarization.
- Indicate the correct singular or plural forms by crossing off incorrect forms (i.e. ~~he~~/she/~~they,~~ is /~~are~~) or circling the correct forms. Failure to correctly indicate this information may lead to rejection of document recording.
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- Securely attach this document to the signed document

CALIFORNIA ALL-PURPOSE CERTIFICATE OF ACKNOWLEDGMENT

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JUN 28 2010

NEBRASKA LIQUOR CONTROL COMMISSION

State of California

County of Los Angeles

On June 10, 2010 before me, Erin M. Keating, Notary Public,
(Here insert name and title of the officer)

personally appeared Danny T. Bane,

who proved to me on the basis of satisfactory evidence to be the person~~(s)~~ whose name~~(s)~~ is~~/are~~ subscribed to the within instrument and acknowledged to me that he~~/she/they~~ executed the same in his~~/her/their~~ authorized capacity~~(ies),~~ and that by his~~/her/their~~ signature~~(s)~~ on the instrument the person~~(s)~~, or the entity upon behalf of which the person~~(s)~~ acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature of Notary Public

(Notary Seal)

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Records Request, Liquor License Application
(Title or description of attached document)

State of Nebraska
(Title or description of attached document continued)

Number of Pages 11 Document Date 6/10/2010

(Additional information)

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- ☐ Individual (s)
- ☒ Corporate Officer
  CEO
  (Title)
- ☐ Partner(s)
- ☐ Attorney-in-Fact
- ☐ Trustee(s)
- ☐ Other

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JUN 28 2010

NEBRASKA LIQUOR CONTROL COMMISSION

State of California

County of Los Angeles

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(Here insert name and title of the officer)

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WITNESS my hand and official seal.

Signature of Notary Public

(Notary Seal)

ERIN M. KEATING
COMM. # 1845969
NOTARY PUBLIC - CALIFORNIA
LOS ANGELES COUNTY
My Comm. Expires April 24, 2013

ADDITIONAL OPTIONAL INFORMATION

DESCRIPTION OF THE ATTACHED DOCUMENT

Interest Waiver, Liquor License Application
(Title or description of attached document)

State of Nebraska
(Title or description of attached document continued)

Number of Pages 11 Document Date 6/11/10

(Additional information)

CAPACITY CLAIMED BY THE SIGNER

- ☒ Individual (s)
- ☐ Corporate Officer
  (Title)
- ☐ Partner(s)
- ☐ Attorney-in-Fact
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- Securely attach this document to the signed document

SPOUSAL AFFIDAVIT OF NON PARTICIPATION INSERT

NEBRASKA LIQUOR CONTROL COMMISSION
301 CENTENNIAL MALL SOUTH
PO BOX 95046
LINCOLN, NE 68509-5046
PHONE: (402) 471-2571
FAX: (402) 471-2814
Website: www.lcc.ne.gov

Office Use

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JUN 28 2010
NEBRASKA LIQUOR CONTROL COMMISSION

I acknowledge that I am the spouse of a liquor license holder. My signature below confirms that I will ~~have~~ not have any interest, directly or indirectly in the operation or profit of the business (§53-125(13)) of the Liquor Control Act. I will not tend bar, make sales, serve patrons, stock shelves, write checks, sign invoices or represent myself as the owner or in any way participate in the day to day operations of this business in any capacity. I understand my fingerprint will not be required; however, I am obligated to sign and disclose any information on all applications needed to process this application.

Signature of spouse asking for waiver
(Spouse of individual listed below)

SANDRA BANE
Printed name of spouse asking for waiver

State of _____

County of _____

The foregoing instrument was acknowledged before me this

_____ by _____
date name of person acknowledged

Notary Public signature

Affix Seal

I acknowledge that I am the spouse of the above listed individual. I understand that my spouse and I are responsible for compliance with the conditions set out above. If it is determined that the above individual has violated (§53-125(13)) the Commission may cancel or revoke the liquor license.

Signature of individual involved with application
(Spouse of individual listed above)

DANNY T. BANE.
Printed name of applying individual

State of _____

County of _____

The foregoing instrument was acknowledged before me this

_____ by _____
date name of person acknowledged

Notary Public signature

Affix Seal

Please see attached acknowledgement.
Erin M. Kurtz

In compliance with the ADA, this spousal affidavit of non participation is available in other formats for persons with disabilities.
A ten day advance period is requested in writing to produce the alternate format.

CALIFORNIA ALL-PURPOSE CERTIFICATE OF ACKNOWLEDGMENT

RECEIVED

JUN 28 2010

NEBRASKA LIQUOR CONTROL COMMISSION

State of California

County of Los Angeles

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(Here insert name and title of the officer)

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who proved to me on the basis of satisfactory evidence to be the person~~(s)~~ whose name~~(s)~~ is~~/are~~ subscribed to the within instrument and acknowledged to me that he~~/she/they~~ executed the same in his~~/her/their~~ authorized capacity~~(ies)~~, and that by his~~/her/their~~ signature~~(s)~~ on the instrument the person~~(s)~~, or the entity upon behalf of which the person~~(s)~~ acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature of Notary Public

(Notary Seal)

ERIN M. KEATING
COMM. # 1845969
NOTARY PUBLIC - CALIFORNIA
LOS ANGELES COUNTY
My Comm. Expires April 24, 2013

ADDITIONAL OPTIONAL INFORMATION

DESCRIPTION OF THE ATTACHED DOCUMENT

Records Request, Liquor License Application
(Title or description of attached document)

State of Nebraska
(Title or description of attached document continued)

Number of Pages 11 Document Date 6/10/2010

(Additional information)

CAPACITY CLAIMED BY THE SIGNER

- ☐ Individual (s)
- ☒ Corporate Officer
  CEO
  (Title)
- ☐ Partner(s)
- ☐ Attorney-in-Fact
- ☐ Trustee(s)
- ☐ Other

INSTRUCTIONS FOR COMPLETING THIS FORM

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- State and County information must be the State and County where the document signer(s) personally appeared before the notary public for acknowledgment.
- Date of notarization must be the date that the signer(s) personally appeared which must also be the same date the acknowledgment is completed.
- The notary public must print his or her name as it appears within his or her commission followed by a comma and then your title (notary public).
- Print the name(s) of document signer(s) who personally appear at the time of notarization.
- Indicate the correct singular or plural forms by crossing off incorrect forms (i.e. ~~he~~/she/~~they,~~ is /~~are~~) or circling the correct forms. Failure to correctly indicate this information may lead to rejection of document recording.
- The notary seal impression must be clear and photographically reproducible. Impression must not cover text or lines. If seal impression smudges, re-seal if a sufficient area permits, otherwise complete a different acknowledgment form.
- Signature of the notary public must match the signature on file with the office of the county clerk.
  - Additional information is not required but could help to ensure this acknowledgment is not misused or attached to a different document.
  - Indicate title or type of attached document, number of pages and date.
  - Indicate the capacity claimed by the signer. If the claimed capacity is a corporate officer, indicate the title (i.e. CEO, CFO, Secretary).
- Securely attach this document to the signed document

The undersigned applicant(s) hereby consent(s) to an investigation of his/her background investigation and release present and future records of every kind and description including police records, tax records (State and Federal), and bank or lending institution records, and said applicant(s) and spouse(s) waive(s) any right or causes of action that said applicant(s) or spouse(s) may have against the Nebraska Liquor Control Commission, the Nebraska State Patrol, and any other individual disclosing or releasing said information Any documents or records for the proposed business or for any partner or stockholder that are needed in furtherance of the application investigation of any other investigation shall be supplied immediately upon demand to the Nebraska Liquor Control Commission or the Nebraska State Patrol. The undersigned understand and acknowledge that any license issued, based on the information submitted in this application, is subject to cancellation if the information contained herein is incomplete, inaccurate or fraudulent.

Individual applicants agree to supervise in person the management and operation of the business and that they will operate the business authorized by the license for themselves and not as an agent for any other person or entity. Corporate applicants agree the approved manager will superintend in person the management and operation of the business. Partnership applicants agree one partner shall superintend the management and operation of the business. All applicants agree to operate the licensed business within all applicable laws, rules regulations, and ordinances and to cooperate fully with any authorized agent of the Nebraska Liquor Control Commission.

Must be signed in the presence of a notary public by applicant(s) and spouse(s). If partnership or LLC (Limited Liability Company), all partners, members and spouses must sign. If corporation all officers, directors, stockholders (holding over 25% of stock and spouses). Full (birth) names only, no initials.

Danny F. Bane
Signature of Applicant
Danny F. Bane

Sandra N. Bane
Signature of Spouse
~~Sandra Bane~~ SANDRA N. BANE

Signature of Applicant

Signature of Spouse

RECEIVED
JUN 28 2010
NEBRASKA LIQUOR CONTROL COMMISSION

Signature of Applicant

Signature of Spouse

Signature of Applicant

Signature of Spouse

Signature of Applicant

Signature of Spouse

State of Nebraska

County of _____

The foregoing instrument was acknowledged before me this _____ by

Notary Public signature
Please see attached acknowledgement.
Ann M. Keating

Affix Seal Here

County of _____

The foregoing instrument was acknowledged before me this _____ by

Notary Public signature
Please see attached acknowledgement.
Ann M. Keating

Affix Seal Here

in compliance with the ADA, this manager insert form 3c is available in other formats for persons with disabilities.
A ten day advance period is required in writing to produce the alternate format.

The undersigned applicant(s) hereby consent(s) to an investigation of his/her background investigation and release present and future records of every kind and description including police records, tax records (State and Federal), and bank or lending institution records, and said applicant(s) and spouse(s) waive(s) any right or causes of action that said applicant(s) or spouse(s) may have against the Nebraska Liquor Control Commission, the Nebraska State Patrol, and any other individual disclosing or releasing said information Any documents or records for the proposed business or for any partner or stockholder that are needed in furtherance of the application investigation of any other investigation shall be supplied immediately upon demand to the Nebraska Liquor Control Commission or the Nebraska State Patrol. The undersigned understand and acknowledge that any license issued, based on the information submitted in this application, is subject to cancellation if the information contained herein is incomplete, inaccurate or fraudulent.

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Must be signed in the presence of a notary public by applicant(s) and spouse(s). If partnership or LLC (Limited Liability Company), all partners, members and spouses must sign. If corporation all officers, directors, stockholders (holding over 25% of stock and spouses). F[illegible] initials.

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JUN 28 2010

NEBRASKA LIQUOR CONTROL COMMISSION

Mitchell Heeger

Mitchell Heeger

Signature of Applicant

Signature of Spouse

Signature of Applicant

Signature of Spouse

Signature of Applicant

Signature of Spouse

Signature of Applicant

Signature of Spouse

State of Nebraska

County of _____

The foregoing instrument was acknowledged before me this _____ by

_____

_____

Notary Public signature

Please see attached Jurat. Erin M. Keating

Affix Seal Here

County of _____

The foregoing instrument was acknowledged before me this _____ by

_____

_____

Notary Public signature

Affix Seal Here

in compliance with the ADA, this manager insert form 3c is available in other formats for persons with disabilities.
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Jurat

RECEIVED

JUN 28 2010

NEBRASKA LIQUOR CONTROL COMMISSION

State of California

County of Los Angeles

Subscribed and sworn to (or affirmed) before me on this 20th day of May,

20 10 by Mitchell Heeger,

proved to me on the basis of satisfactory evidence to be the person(~~s~~) who appeared before me.

Signature

(Notary seal)

ERIN M. KEATING
COMM. # 1845969
NOTARY PUBLIC - CALIFORNIA
LOS ANGELES COUNTY
My Comm. Expires April 24, 2013

INM1 INM1

OPTIONAL INFORMATION

DESCRIPTION OF THE ATTACHED DOCUMENT

Application for Liquor License, Lincoln, NE
(Title or description of attached document)

(Title or description of attached document continued)

Number of Pages 8 Document Date 5/20/2010

(Additional information)

Example of an oath or affirmation to be asked by the notary prior to signing: "Do you swear or affirm that the statements made in the attached document are true to the best of your knowledge?" (The affiant must reply affirmatively.)

INSTRUCTIONS FOR COMPLETING THIS FORM

The wording of all Jurats completed in California after January 1, 2008 must be in the form as set forth within this Jurat. There are no exceptions. If a Jurat to be completed does not follow this form, the notary must correct the verbiage by using a jurat stamp containing the correct wording or attaching a separate jurat form such as this one which does contain proper wording. In addition, the notary must require an oath or affirmation from the document signer regarding the truthfulness of the contents of the document. The document must be signed AFTER the oath or affirmation. If the document was previously signed, it must be re-signed in front of the notary public during the jurat process.

- State and County information must be the State and County where the document signer(s) personally appeared before the notary public.
- Date of notarization must be the date that the signer(s) personally appeared which must also be the same date the jurat process is completed.
- Print the name(s) of document signer(s) who personally appear at the time of notarization.
- Signature of the notary public must match the signature on file with the office of the county clerk.
- The notary seal impression must be clear and photographically reproducible. Impression must not cover text or lines. If seal impression smudges, re-seal if a sufficient area permits, otherwise complete a different jurat form.
  - Additional information is not required but could help to ensure this jurat is not misused or attached to a different document.
  - Indicate title or type of attached document, number of pages and date.
- Securely attach this document to the signed document

The undersigned applicant(s) hereby consent(s) to an investigation of his/her background investigation and release present and future records of every kind and description including police records, tax records (State and Federal), and bank or lending institution records, and said applicant(s) and spouse(s) waive(s) any right or causes of action that said applicant(s) or spouse(s) may have against the Nebraska Liquor Control Commission, the Nebraska State Patrol, and any other individual disclosing or releasing said information Any documents or records for the proposed business or for any partner or stockholder that are needed in furtherance of the application investigation of any other investigation shall be supplied immediately upon demand to the Nebraska Liquor Control Commission or the Nebraska State Patrol. The undersigned understand and acknowledge that any license issued, based on the information submitted in this application, is subject to cancellation if the information contained herein is incomplete, inaccurate or fraudulent.

Individual applicants agree to supervise in person the management and operation of the business and that they will operate the business authorized by the license for themselves and not as an agent for any other person or entity. Corporate applicants agree the approved manager will superintend in person the management and operation of the business. Partnership applicants agree one partner shall superintend the management and operation of the business. All applicants agree to operate the licensed business within all applicable laws, rules regulations, and ordinances and to cooperate fully with any authorized agent of the Nebraska Liquor Control Commission.

Must be signed in the presence of a notary public by applicant(s) and spouse(s). If partnership or LLC (Limited Liab[illegible] members and spouses must sign. If corporation all officers, directors, stockholders (holding over 25% of stock and spouses). Full [illegible] initials.

RECEIVED
JUN 28 2010
NEBRASKA LIQUOR CONTROL COMMISSION

Signature of Applicant
Bryan Palbaum

Signature of Spouse
Stacy Palbaum

Signature of Applicant

Signature of Spouse

Signature of Applicant

Signature of Spouse

Signature of Applicant

Signature of Spouse

Signature of Applicant

Signature of Spouse

State of Nebraska

County of _____

The foregoing instrument was acknowledged before me this _____ by

_____

_____

Notary Public signature

Please see attached acknowledgement. Lisa M. Kurtz

Affix Seal Here

County of LOS ANGELES

The foregoing instrument was acknowledged before me this 06-09-10 by

STACY PALBAUM

06-09-10

Notary Public signature

NADER AFRAM

Affix Seal Here

NADER AFRAM
COMM #1832830
Notary Public-California
LOS ANGELES COUNTY
My Comm. Exp. JAN 23, 2013

in compliance with the ADA, this manager insert form 3c is available in other formats for persons with disabilities.
A ten day advance period is required in writing to produce the alternate format.

CALIFORNIA ALL-PURPOSE CERTIFICATE OF ACKNOWLEDGMENT

RECEIVED
JUN 28 2010
NEBRASKA LIQUOR CONTROL COMMISSION

State of California

County of Los Angeles

On June 10, 2010 before me, Erin M. Keating, Notary Public,
(Here insert name and title of the officer)

personally appeared Bryan Palbaum,

who proved to me on the basis of satisfactory evidence to be the person~~(s)~~ whose name~~(s)~~ is~~/are~~ subscribed to the within instrument and acknowledged to me that he~~/she/they~~ executed the same in his~~/her/their~~ authorized capacity~~(ies),~~ and that by his~~/her/their~~ signature~~(s)~~ on the instrument the person~~(s)~~, or the entity upon behalf of which the person~~(s)~~ acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature of Notary Public

(Notary Seal)

ERIN M. KEATING
COMM. # 1845969
NOTARY PUBLIC - CALIFORNIA
LOS ANGELES COUNTY
My Comm. Expires April 24, 2013

ADDITIONAL OPTIONAL INFORMATION

DESCRIPTION OF THE ATTACHED DOCUMENT

Records Request, Liquor License Application
(Title or description of attached document)

State of Nebraska
(Title or description of attached document continued)

Number of Pages 11 Document Date 6/10/2010

(Additional information)

CAPACITY CLAIMED BY THE SIGNER

- ☐ Individual (s)
- ☒ Corporate Officer
  Exec. Vice President
  (Title)
- ☐ Partner(s)
- ☐ Attorney-in-Fact
- ☐ Trustee(s)
- ☐ Other _____

INSTRUCTIONS FOR COMPLETING THIS FORM

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  - Indicate the capacity claimed by the signer. If the claimed capacity is a corporate officer, indicate the title (i.e. CEO, CFO, Secretary).
- Securely attach this document to the signed document

SPOUSAL AFFIDAVIT OF NON PARTICIPATION INSERT

NEBRASKA LIQUOR CONTROL COMMISSION
301 CENTENNIAL MALL SOUTH
PO BOX 95046
LINCOLN, NE 68509-5046
PHONE: (402) 471-2571
FAX: (402) 471-2814
Website: www.lcc.ne.gov

Office Use

RECEIVED
JUN 28 2010
NEBRASKA LIQUOR CONTROL COMMISSION

I acknowledge that I am the spouse of a liquor license holder. My signature below confirms that I will [redacted] not have any interest, directly or indirectly in the operation or profit of the business (§53-125(13)) of the Liquor Control Act. I will not tend bar, make sales, serve patrons, stock shelves, write checks, sign invoices or represent myself as the owner or in any way participate in the day to day operations of this business in any capacity. I understand my fingerprint will not be required; however, I am obligated to sign and disclose any information on all applications needed to process this application.

Signature of spouse asking for waiver
(Spouse of individual listed below)

STACY PALBAUM
Printed name of spouse asking for waiver

State of CALIFORNIA

County of LOS ANGELES

The foregoing instrument was acknowledged before me this

06-09-10
date

by STACY PALBAUM
name of person acknowledged

06-09-10
Notary Public signature
NADER AFRAM

Affix Seal

NADER AFRAM
COMM #1832830
Notary Public-California
LOS ANGELES COUNTY
My Comm. Exp. JAN 23, 2013

I acknowledge that I am the spouse of the above listed individual. I understand that my spouse and I are responsible for compliance with the conditions set out above. If it is determined that the above individual has violated (§53-125(13)) the Commission may cancel or revoke the liquor license.

Signature of individual involved with application
(Spouse of individual listed above)

BRYAN PALBAUM
Printed name of applying individual

State of _____

County of _____

The foregoing instrument was acknowledged before me this

_____ by _____
date name of person acknowledged

Notary Public signature
Please see attached acknowledgement

Affix Seal

In compliance with the ADA, this spousal affidavit of non participation is available in other formats for persons with disabilities.
A ten day advance period is requested in writing to produce the alternate format.

FORM 35-4178
Revised 1/2008

CALIFORNIA ALL-PURPOSE CERTIFICATE OF ACKNOWLEDGMENT

RECEIVED
JUN 28 2010
NEBRASKA LIQUOR CONTROL COMMISSION

State of California

County of Los Angeles

On June 10, 2010 before me, Erin M. Keating, Notary Public,
(Here insert name and title of the officer)

personally appeared Bryan Palbaum,

who proved to me on the basis of satisfactory evidence to be the person~~(s)~~ whose name~~(s)~~ is~~/are~~ subscribed to the within instrument and acknowledged to me that he~~/she/they~~ executed the same in his~~/her/their~~ authorized capacity~~(ies),~~ and that by his~~/her/their~~ signature~~(s)~~ on the instrument the person~~(s)~~, or the entity upon behalf of which the person~~(s)~~ acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature of Notary Public

(Notary Seal)

INM1 ERIN M. KEATING INM1
COMM. # 1845969
NOTARY PUBLIC - CALIFORNIA
LOS ANGELES COUNTY
My Comm. Expires April 24, 2013

ADDITIONAL OPTIONAL INFORMATION

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(Title or description of attached document)

State of Nebraska
(Title or description of attached document continued)

Number of Pages 11 Document Date 6/10/2010

(Additional information)

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- ☐ Individual (s)
- ☒ Corporate Officer
  Exec. Vice President
  (Title)
- ☐ Partner(s)
- ☐ Attorney-in-Fact
- ☐ Trustee(s)
- ☐ Other _____

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- Securely attach this document to the signed document

The undersigned applicant(s) hereby consent(s) to an investigation of his/her background investigation and release present and future records of every kind and description including police records, tax records (State and Federal), and bank or lending institution records, and said applicant(s) and spouse(s) waive(s) any right or causes of action that said applicant(s) or spouse(s) may have against the Nebraska Liquor Control Commission, the Nebraska State Patrol, and any other individual disclosing or releasing said information Any documents or records for the proposed business or for any partner or stockholder that are needed in furtherance of the application investigation of any other investigation shall be supplied immediately upon demand to the Nebraska Liquor Control Commission or the Nebraska State Patrol. The undersigned understand and acknowledge that any license issued, based on the information submitted in this application, is subject to cancellation if the information contained herein is incomplete, inaccurate or fraudulent.

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Must be signed in the presence of a notary public by applicant(s) and spouse(s). If partnership or LLC (Limited Liability Company), all partners, members and spouses must sign. If corporation all officers, directors, stockholders (holding over 25% of stock and spouses). Full (birth) names only, no initials.

RECEIVED
JUN 28 2010
NEBRASKA LIQUOR CONTROL COMMISSION

Sharon A. Drabeck
Signature of Applicant

David Drabeck
Signature of Spouse

Signature of Applicant

Signature of Spouse

Signature of Applicant

Signature of Spouse

Signature of Applicant

Signature of Spouse

Signature of Applicant

Signature of Spouse

State of Nebraska Calif

County of Ventura

The foregoing instrument was acknowledged before me this may 29th 2010 by

Sharon A Drabeck

Sukhjinder K Dhanoa

Notary Public signature

Affix Seal Here

SUKHJINDER K. DHANOA
Commission # 1757233
Notary Public - California
Ventura County
My Comm. Expires Aug 12, 2011

County of Ventura

The foregoing instrument was acknowledged before me this may 29th 2010 by

David Drabeck.

Sukhjinder K Dhanoa

Notary Public signature

Affix Seal Here

SUKHJINDER K. DHANOA
Commission # 1757233
Notary Public - California
Ventura County
My Comm. Expires Aug 12, 2011

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SPOUSAL AFFIDAVIT OF NON PARTICIPATION INSERT

NEBRASKA LIQUOR CONTROL COMMISSION
301 CENTENNIAL MALL SOUTH
PO BOX 95046
LINCOLN, NE 68509-5046
PHONE: (402) 471-2571
FAX: (402) 471-2814
Website: www.lcc.ne.gov

Office Use

RECEIVED
JUN 28 2010
NEBRASKA LIQUOR CONTROL COMMISSION

I acknowledge that I am the spouse of a liquor license holder. My signature below confirms that I will have not have any interest, directly or indirectly in the operation or profit of the business (§53-125(13)) of the Liquor Control Act. I will not tend bar, make sales, serve patrons, stock shelves, write checks, sign invoices or represent myself as the owner or in any way participate in the day to day operations of this business in any capacity. I understand my fingerprint will not be required; however, I am obligated to sign and disclose any information on all applications needed to process this application.

Signature of spouse asking for waiver
(Spouse of individual listed below)

DAVID DRABECK
Printed name of spouse asking for waiver

State of Califen

County of Ventun.

The foregoing instrument was acknowledged before me this

may 29th 2010 by David Drabeck.
date name of person acknowledged

Sukhyda k Dhanoa
Notary Public signature

Affix Seal

SUKHJINDER K. DHANOA
Commission # 1757233
Notary Public - California
Ventura County
My Comm. Expires Aug 12, 2011

I acknowledge that I am the spouse of the above listed individual. I understand that my spouse and I are responsible for compliance with the conditions set out above. If it is determined that the above individual has violated (§53-125(13)) the Commission may cancel or revoke the liquor license.

Signature of individual involved with application
(Spouse of individual listed above)

SHARON DRABECK
Printed name of applying individual

State of Califen

County of Vent.

The foregoing instrument was acknowledged before me this

may 29th 2010 by Sharon Drabeck.
date name of person acknowledged

Sukhyda k Dhanoa
Notary Public signature

Affix Seal

SUKHJINDER K. DHANOA
Commission # 1757233
Notary Public - California
Ventura County
My Comm. Expires Aug 12, 2011

In compliance with the ADA, this spousal affidavit of non participation is available in other formats for persons with disabilities.
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APPLICATION FOR LIQUOR LICENSE CORPORATION
INSERT - FORM 3a

NEBRASKA LIQUOR CONTROL COMMISSION
301 CENTENNIAL MALL SOUTH
PO BOX 95046
LINCOLN, NE 68509-5046
PHONE: (402) 471-2571
FAX: (402) 471-2814
Website: www.lcc.ne.gov

Office Use

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NEBRASKA LIQUOR CONTROL COMMISSION

Officers, directors and stockholders holding over 25%, including spouses, are required to adhere to the following requirements

1) **The president and stockholders holding over 25% and their spouse (if applicable) must submit their fingerprints (2 cards per person)**
2) **All officers, directors and stockholders holding over 25 % and their spouse (if applicable) must sign the signature page of the Application for License form (Even if a spousal affidavit has been submitted)**

Attach copy of Articles of Incorporation (Articles must show barcode receipt by Secretary of States Office)

Name of Registered Agent: PARACORP INCORPORATED - SEE ATTACHED.

Name of Corporation that will hold license as listed on the Articles

TRADER JOE'S COMPANY

Corporation Address: 800 S. SHAMROCK AVE.

City: MONROVIA, State: CA Zip Code: 91016

Corporation Phone Number: 626-599-3700 Fax Number

Total Number of Corporation Shares Issued:

Name and notarized signature of president (Information of president must be listed on following page)

Last Name: BANE First Name: DANNY MI: T.

Home Address: 303 PALMETTO DR. City: PASADENA

State: CA Zip Code: 91105 Home Phone Number: 626-440-9867

Danny T. Bane

Signature of president

State of Nebraska
County of

The foregoing instrument was acknowledged before me this

by

date

name of person acknowledged

Notary Public signature

Affix Seal Here

Please see attached acknowledgement.

Erin M. Keating

CALIFORNIA ALL-PURPOSE CERTIFICATE OF ACKNOWLEDGMENT

RECEIVED
JUN 28 2010
NEBRASKA LIQUOR CONTROL COMMISSION

State of California

County of Los Angeles

On June 11, 2010 before me, Erin M. Keating, Notary Public,
(Here insert name and title of the officer)

personally appeared Danny T. Bane,

who proved to me on the basis of satisfactory evidence to be the person~~(s)~~ whose name~~(s)~~ is~~/are~~ subscribed to the within instrument and acknowledged to me that he~~/she/they~~ executed the same in his~~/her/their~~ authorized capacity~~(ies),~~ and that by his~~/her/their~~ signature~~(s)~~ on the instrument the person~~(s)~~, or the entity upon behalf of which the person~~(s)~~ acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature of Notary Public

(Notary Seal)

INM1 ERIN M. KEATING INM1
COMM. # 1845969
NOTARY PUBLIC - CALIFORNIA
LOS ANGELES COUNTY
My Comm. Expires April 24, 2013

ADDITIONAL OPTIONAL INFORMATION

DESCRIPTION OF THE ATTACHED DOCUMENT

Liquor License Application, Form 3a
(Title or description of attached document)

Lincoln, NE Trader Joe's
(Title or description of attached document continued)

Number of Pages 4 Document Date 6/11/10

(Additional information)

CAPACITY CLAIMED BY THE SIGNER

- ☐ Individual (s)
- ☒ Corporate Officer
  CEO
  (Title)
- ☐ Partner(s)
- ☐ Attorney-in-Fact
- ☐ Trustee(s)
- ☐ Other

INSTRUCTIONS FOR COMPLETING THIS FORM

Any acknowledgment completed in California must contain verbiage exactly as appears above in the notary section or a separate acknowledgment form must be properly completed and attached to that document. The only exception is if a document is to be recorded outside of California. In such instances, any alternative acknowledgment verbiage as may be printed on such a document so long as the verbiage does not require the notary to do something that is illegal for a notary in California (i.e. certifying the authorized capacity of the signer). Please check the document carefully for proper notarial wording and attach this form if required.

- State and County information must be the State and County where the document signer(s) personally appeared before the notary public for acknowledgment.
- Date of notarization must be the date that the signer(s) personally appeared which must also be the same date the acknowledgment is completed.
- The notary public must print his or her name as it appears within his or her commission followed by a comma and then your title (notary public).
- Print the name(s) of document signer(s) who personally appear at the time of notarization.
- Indicate the correct singular or plural forms by crossing off incorrect forms (i.e. ~~he~~/she/~~they,~~ is /~~are~~) or circling the correct forms. Failure to correctly indicate this information may lead to rejection of document recording.
- The notary seal impression must be clear and photographically reproducible. Impression must not cover text or lines. If seal impression smudges, re-seal if a sufficient area permits, otherwise complete a different acknowledgment form.
- Signature of the notary public must match the signature on file with the office of the county clerk.
  - Additional information is not required but could help to ensure this acknowledgment is not misused or attached to a different document.
  - Indicate title or type of attached document, number of pages and date.
  - Indicate the capacity claimed by the signer. If the claimed capacity is a corporate officer, indicate the title (i.e. CEO, CFO, Secretary).
- Securely attach this document to the signed document

List names of all officers, directors and stockholders including spouses (Even if a spousal affidavit has been submitted)

Last Name: BANE First Name: DANNY MI: T Signed

Social Security Number Date of Birth: RECEIVED

Title: PRES/CEO/DIRECTOR Number of Shares -0- JUN 28 2010

Spouse Full Name (indicate N/A if single): SANDRA BANE Signed

Spouse Social Security Number: Date of Birth: NEBRASKA LIQUOR CONTROL COMMISSION Spousal

---

Last Name: Heegen First Name: MITCHELL MI: B Signed

Social Security Number: Date of Birth: Prints

Title: DIRECTOR Number of Shares -0-

Spouse Full Name (indicate N/A if single): N/A

Spouse Social Security Number: N/A Date of Birth: N/A

Last Name: PALBAUM First Name: BRYAN MI: E Signed

Social Security Number: Date of Birth: prints

Title: DIRECTOR Number of Shares -0-

Spouse Full Name (indicate N/A if single): STACY ALISE PALBAUM Signed

Spouse Social Security Number: Date of Birth: Spousal

Last Name: DRABECK First Name: SHARON MI: A prints

Social Security Number: Date of Birth: signed

Title: Sec/Treas. Number of Shares -0-

Spouse Full Name (indicate N/A if single): DAVID M. DRABECK Spousal

Spouse Social Security Number: Date of Birth: Signed

Is the applying Corporation controlled by another Corporation?

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☐ YES ☒ NO

If yes, provide the name of corporation and supply an organizational chart

See ATTACHED CHART.

Indicate the Corporation's tax year with the IRS (Example January through December)

Starting Date: July 1 Ending Date: June 30

Is this a Non-Profit Corporation?

☐ YES ☒ NO

If yes, provide the Federal ID #.

In compliance with the ADA, this corporation insert form 3a is available in other formats for persons with disabilities.
A ten day advance period is requested in writing to produce the alternate format.

MANAGER APPLICATION INSERT - FORM 3c

NEBRASKA LIQUOR CONTROL COMMISSION
301 CENTENNIAL MALL SOUTH
PO BOX 95046
LINCOLN, NE 68509-5046
PHONE: (402) 471-2571
FAX: (402) 471-2814
Website: www.lcc.ne.gov

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Corporate manager, including spouse, are required to adhere to the following requirements
If spouse filed affidavit of non-participation fingerprints and proof of citizenship not required

1) **Must be a citizen of the United States**
2) **Must be a Nebraska resident (Chapter 2 – 006)**
3) **Must provide a copy of birth certificate, naturalization paper or US passport**
4) **Must submit their fingerprints (2 cards per person)**
5) **Must be 21 years of age or older**
6) **Applicant may be required to take a training course**

Corporation/Limited Liability Corporation (LLC) Information

Name of Corporation/LLC: TRADER JOE'S COMPANY.

Premise Information

Premise License Number: Pending
(if new application leave blank)

Premise Trade Name/DBA: TRADER JOE'S # 722

Premise Street Address: 3120 PINE LAKE RD. - SUITE R

City: LINCOLN State: NE Zip Code: 68516

Premise Phone Number: TO BE DETERMINED

The individual whose name is listed in the president or contact member category on either insert form 3a or 3b must sign their name below.

Danny T. Bare

CORPORATE OFFICER SIGNATURE
(Faxed signatures are acceptable)

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Manager's information must be completed below PLEASE PRINT CLEARLY

Gender: ☒ MALE ☐ FEMALE

Last Name: ENGLISH First Name: JOSEPH MI:

Home Address (include PO Box if applicable): 2726 VERNON CT

City: WOODRIDGE State: IL Zip Code: 60517

Home Phone Number: 630-728-9045 Business Phone Number:

Social Security Number: Drivers License Number & State:

Date Of Birth: Place Of Birth: SACRAMENTO CA

Are you married? If yes, complete spouse information (even if a spousal affidavit has been submitted)

☒ YES ☐ NO

Spouse's information

Spouses Last Name: ENGLISH First Name: LISA MI: J

Social Security Number: Drivers License Number & State

Date Of Birth: Place Of Birth: RED BLUFF CA

APPLICANT AND SPOUSE MUST LIST RESIDENCE(S) FOR THE PAST 10 YEARS

APPLICANT			SPOUSE		
CITY & STATE	YEAR FROM	YEAR TO	CITY & STATE	YEAR FROM	YEAR TO
[redacted] WOODRIDGE IL	2005	2010			
DOWNER GROVE IL	2002	2005			
NAPERVILLE IL	2001	2002			
CITRIS HEIGHTS CA	2000	2001			

MANAGER'S LAST TWO EMPLOYERS

YEAR FROM	YEAR TO	NAME OF EMPLOYER	NAME OF SUPERVISOR	TELEPHONE NUMBER
2000	2010	TRADER JOES	ADAM MUTOLO	847-337-0327

Manager and spouse must review and answer the questions below.
PLEASE PRINT CLEARLY

1. **READ PARAGRAPH CAREFULLY AND ANSWER COMPLETELY AND ACCURATELY.**

Has anyone who is a party to this application, or their spouse, EVER been convicted of or plead guilty to any charge. Charge means any charge alleging a felony, misdemeanor, violation of a federal or state law; a violation of a local law, ordinance or resolution. List the nature of the charge, where the charge occurred and the year and month of the conviction or plea. Also list any charges pending at the time of this application. **If more than one party, please list charges by each individual's name.**

☐ YES ☒ NO If yes, please explain below or attach a separate page.

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2. Have you or your spouse ever been approved or made application for a liquor license in Nebraska or any other state? **IF YES,** list the name of the premise.

☒ YES ☐ NO TRADER JOES #686
14924 S. LA GRANGE RD ORLAND PARK IL 60462

3. Do you, as a manager, have all the qualifications required to hold a Nebraska Liquor License? Nebraska Liquor Control Act (§53-131.01)

☒ YES ☐ NO

4. Have you filed the required fingerprint cards and **PROPER FEES** with this application? (The check or money order must be made out to the **Nebraska State Patrol for $38.00 per person)**

☒ YES ☐ NO

5. List the training and/or experience (when and where)

Date:	Where:
7-26-2006	BASSET TRAINING SATE OF ILLINOIS

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The above individual(s), being first duly sworn upon oath, deposes and states that the undersigned is the applicant and/or spouse of applicant who makes the above and foregoing application that said application has been read and that the contents thereof and all statements contained therein are true. If any false statement is made in any part of this application, the applicant(s) shall be deemed guilty of perjury and subject to penalties provided by law. (Sec §53-131.01) Nebraska Liquor Control Act.

The undersigned applicant hereby consents to an investigation of his/her background including all records of every kind and description including police records, tax records (State and Federal), and bank or lending institution records, and said applicant and spouse waive any rights or causes of action that said applicant or spouse may have against the Nebraska Liquor Control Commission and any other individual disclosing or releasing said information to the Nebraska Liquor Control Commission.

The undersigned understand and acknowledge that any license issued, based on the information submitted in this application, is subject to cancellation if the information contained herein is incomplete, inaccurate, or fraudulent.

Signature of Manager Applicant

Signature of Spouse

State of ~~Nebraska~~ Illinois

County of Dupage

The foregoing instrument was acknowledged before me this 6-24-10 by

Joseph T English

Notary Public signature

Affix Seal Here

OFFICIAL SEAL
DANED BURBIDGE
Notary Public - State of Illinois
My Commission Expires Apr 17, 2011

County of DU page

The foregoing instrument was acknowledged before me this 6-24-10 by

Lisa J English

Notary Public signature

Affix Seal Here

OFFICIAL SEAL
DANED BURBIDGE
Notary Public - State of Illinois
My Commission Expires Apr 17, 2011

In compliance with the ADA, this manager insert form 3c is available in other formats for persons with disabilities.
A ten day advance period is required in writing to produce the alternate format.

Revised 9/2008

STATE OF CALIFORNIA
CERTIFICATION OF VITAL RECORD

SACRAMENTO COUNTY

SACRAMENTO, CALIFORNIA

104-6 — STATE BIRTH CERTIFICATE NUMBER

CERTIFICATE OF LIVE BIRTH

STATE OF CALIFORNIA—DEPARTMENT OF PUBLIC HEALTH

3400 04850 — LOCAL REGISTRATION DISTRICT AND CERTIFICATE NUMBER

THIS CHILD	1a. NAME OF CHILD—FIRST NAME: JOSEPH		1b. MIDDLE NAME: TINDEL		1c. LAST NAME: ENGLISH
	2. SEX: Male	3a. THIS BIRTH, SINGLE, TWIN, OR TRIPLET: Single	3b. IF THIS IS TRIPLET, THIS CHILD BORN 1ST, 2ND, 3RD?	4a. DATE OF BIRTH (MO., DAY, YEAR)	4b. HOUR: 12:08 P M.
PLACE OF BIRTH	5a. PLACE OF BIRTH—NAME OF HOSPITAL: American River Hospital			5b. STREET ADDRESS (STREET, AND NUMBER, OR LOCATION): 4747 Engle Rd.	5c. INSIDE CITY CORPORATE LIMITS (INCLUDE YES OR NO): No
	5d. CITY OR TOWN: Carmichael			5e. COUNTY: Sacramento	
MOTHER OF CHILD	6a. MAIDEN NAME OF MOTHER—FIRST NAME: PATSY	6b. MIDDLE NAME: LOU		6c. LAST NAME (MAIDEN NAME): TILLEY	7. BIRTHPLACE (STATE OR FOREIGN COUNTRY): Michigan
	8. AGE OF MOTHER (AT TIME OF THIS BIRTH): 29 YEARS	9. COLOR OR RACE OF MOTHER: Caucasian		10a. RESIDENCE OF MOTHER—STREET ADDRESS (STREET AND NUMBER, RURAL ROUTE, OR LOCATION): 7281 Carmi St.	10b. INSIDE CITY CORPORATE LIMITS (INCLUDE YES OR NO):
	10c. RESIDENCE OF MOTHER—CITY OR TOWN: Sacramento			10d. RESIDENCE OF MOTHER—COUNTY: Sacramento	10e. RESIDENCE OF MOTHER—STATE: California
FATHER OF CHILD	11a. NAME OF FATHER—FIRST NAME: WILLIAM	11b. MIDDLE NAME: JESSE		11c. LAST NAME: ENGLISH	12. BIRTHPLACE (STATE OR FOREIGN COUNTRY): California
	13. AGE OF FATHER (AT TIME OF THIS BIRTH): 37 YEARS	14. COLOR OR RACE OF FATHER: Caucasian		15a. PRESENT OR LAST OCCUPATION: PBX Repairman	15b. KIND OF INDUSTRY OR BUSINESS: Telephone Company
INFORMANT'S CERTIFICATION	I HEREBY CERTIFY THAT I HAVE REVIEWED THE ABOVE STATED INFORMATION AND THAT IT IS TRUE AND CORRECT TO THE BEST OF MY KNOWLEDGE.			16a. PARENT OR OTHER INFORMANT—SIGNATURE (IF OTHER THAN PARENT, SPECIFY): ▶ Patsy English	16b. DATE REVIEWED AND SIGNED BY INFORMANT: June 15, 1969
ATTENDANT'S CERTIFICATION	I HEREBY CERTIFY THAT I ATTENDED THIS BIRTH AND THAT THE CHILD WAS BORN ALIVE AT THE HOUR, DATE AND PLACE STATED ABOVE.			17a. PHYSICIAN (OR OTHER PERSON WHO ATTENDED THIS BIRTH)—SIGNATURE—DEGREE OR TITLE: ▶ Harvey Joulberg, M.D.	17b. DATE SIGNED BY PHYSICIAN OR OTHER ATTENDANT: June 14, 1969
				17c. ADDRESS: 6620 Coyle Ave. Carmichael	17d. PHYSICIAN'S CALIFORNIA LICENSE NUMBER: G 12308
LOCAL REGISTRAR	18. Amended Record August 31, 1970			19. LOCAL REGISTRAR—SIGNATURE: ▶ Nemat O. Borhani, M.D.	20. DATE ACCEPTED FOR REGISTRATION BY LOCAL REGISTRAR: June 19, 19[illegible]

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001114892

CERTIFIED COPY OF VITAL RECORDS
STATE OF CALIFORNIA, COUNTY OF SACRAMENTO

This is a true and exact reproduction of the document officially registered and placed on file in the office of the SACRAMENTO COUNTY CLERK-RECORDER.

DATE ISSUED: MAY 27 2009

FREDERICK B. GARCIA, CLERK-RECORDER
SACRAMENTO COUNTY, CALIFORNIA

This copy not valid unless prepared on engraved border displaying date, seal and signature of the County Clerk-Recorder.

Print Form

SPOUSAL AFFIDAVIT OF NON PARTICIPATION INSERT

NEBRASKA LIQUOR CONTROL COMMISSION
301 CENTENNIAL MALL SOUTH
PO BOX 95046
LINCOLN, NE 68509-5046
PHONE: (402) 471-2571
FAX: (402) 471-2814
Website: www.lcc.ne.gov

Office Use

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NEBRASKA LIQUOR CONTROL COMMISSION

I acknowledge that I am the spouse of a liquor license holder. My signature below confirms that I will have not have any interest, directly or indirectly in the operation or profit of this business (§53-125(13)) of the Liquor Control Act. I will not tend bar, make sales, serve patrons, stock shelves, write checks, sign invoices or represent myself as the owner or in any way participate in the day to day operations of this business in any capacity. I understand my fingerprint will not be required, however, I am obligated to sign and disclose any information on all applications needed to process this application.

Signature of spouse asking for waiver
(Spouse of individual listed below)

JOSEPH T ENGLISH
Printed name of spouse asking for waiver

State of Illinois

County of Dupage

The foregoing instrument was acknowledged before me this

6-24-10 (date) by Joseph T English (name of person acknowledged)

David Burbidge
Notary Public signature

Affix Seal

OFFICIAL SEAL
DANED BURBIDGE
Notary Public - State of Illinois
My Commission Expires Apr 17, 2011

I acknowledge that I am the spouse of the above listed individual. I understand that my spouse and I are responsible for compliance with the conditions set out above. If it is determined that the above individual has violated (§53-125(13)) the Commission may cancel or revoke the liquor license.

Signature of individual involved with application
(Spouse of individual listed above)

LISA J ENGLISH
Printed name of applying individual

State of Illinois

County of Dupage

The foregoing instrument was acknowledged before me this

6-24-10 (date) by Lisa J English (name of person acknowledged)

David Burbidge
Notary Public signature

Affix Seal

OFFICIAL SEAL
DANED BURBIDGE
Notary Public - State of Illinois
My Commission Expires Apr 17, 2011

In compliance with the ADA, this spousal affidavit of non participation is available in other formats for persons with disabilities. A ten day advance period is requested in writing to produce the alternate format.

FORM 35-4178
Revised 1/2008

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NEBRASKA LIQUOR CONTROL COMMISSION

APPLICATION FOR CERTIFICATE OF AUTHORITY TO TRANSACT BUSINESS

John A. Gale, Secretary of State
Room 1301 State Capitol, P.O. Box 94608
Lincoln, NE 68509
http://www.sos.state.ne.us

NE Sec of State John A Gale - CORP FA
1000976596 Pgs: 3
TRADER JOE'S COMPANY
Filed: 04/05/2010 01:33 PM

Submit in Duplicate

Attach a certificate of good standing duly authenticated by the official having custody of the corporate records in the state or country under whose law the corporation is incorporated. Such certificate shall not be more than 60 days old. A certified copy of the articles of incorporation should not be submitted and is not acceptable in lieu of such certificate.

Name of Corporation Trader Joe's Company

Fictitious Name of Corporation _____
(to be used only if actual corporate name is unavailable for use or does not comply with Nebraska law)

Incorporated under the laws of California

Date Incorporation April 11, 1958
Year

Period of Duration perpetual

Address of Principal Office 800 S. Shamrock Ave., Monrovia, CA 91016
Street Address City State Zip

Registered Agent Paracorp Incorporated

Registered Office 6003 Old Cheney Road, 3rd Floor NE 68516
Street Address and Post Office Box (if any) City Zip

DATED 4/01/10

Signature
Secretary, Treasurer
Printed Name/Title

NOTE: The Business Corporation Act requires that every filing be signed by the chairperson of the board of directors, the president, or one of the officers of the corporation. If the corporation has not yet been formed or directors have not yet been selected, the filing shall be signed by an incorporator. If the corporation is in the hands of a receiver, trustee, or other court appointed fiduciary, the filing shall be signed by that fiduciary.

NOTE: To complete this form, you must list officers and directors on back

FILING FEE: $145.00 (if you have more than one page listing officers and directors please add $5.00 a page for each additional page)

Revised 7/18/2008

Neb. Rev. Stat. 21-20,170