

City of Lincoln, Nebraska

FY 2012 Annual Action Plan: One Year Use of Funds

Third Program Year

For

HUD Entitlement Programs



Prepared by the City of Lincoln, Nebraska

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**City of Lincoln, Nebraska
FY 2011 Annual Action Plan**

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Third Program Year Action Plan

9/1/12 – 8/31/13

The CPMP Third Annual Action Plan includes the [SF 424](#) and Narrative Responses to Action Plan questions that CDBG, HOME, HOPWA, and ESG grantees must respond to each year in order to be compliant with the Consolidated Planning Regulations. The Executive Summary narratives are optional.

Narrative Responses

Executive Summary

The Executive Summary is required. Include the objectives and outcomes identified in the plan and an evaluation of past performance.

Program Year 3 Action Plan Executive Summary:

As part of the *Three-Year Strategic Plan*, Lincoln's *Annual Action Plan* provides a unified, comprehensive vision for community development for the 2012-13 Fiscal Year (September 1, 2012 through August 31, 2013). The Annual Action Plan specifically addresses the use of funds received from the U.S. Department of Housing and Urban Development (HUD): Community Development Block Grant (CDBG) and HOME Investment Partnerships (HOME). The *Annual Action Plan* describes actions that will take place in the next year to accomplish the goals identified in the *Three-Year Strategic Plan*. This Action Plan represents the third year of the three-year strategic planning period.

The goals in the Annual Action Plan are based on the three major statutory goals for HUD's Community Planning and Development Programs: provide decent housing, a suitable living environment, and expanded economic opportunities, all primarily for low- and moderate-income persons.

The lead agency for Lincoln's community development activities is the City's Urban Development Department. Other agencies responsible for administering the programs outlined in the Annual Action Plan include NeighborWorks®Lincoln, the Lincoln Housing Authority, the League of Human Dignity, and the Homeless Coalition.

For the CDBG and HOME programs, HUD has identified objectives for the three major statutory goals. They are:

- **HUD Objective 1: Creating Suitable Living Environments** – this relates to activities that are designed to benefit communities, families, or individuals by addressing issues in their living environment. It relates to activities that are intended to address a wide range of issues faced by low- and moderate-income persons, from physical problems with their environment, such as poor quality infrastructure, to social issues such as crime prevention, literacy, or elderly health services.
- **HUD Objective 2: Providing Decent Housing** – this covers the wide range of housing activities that are generally undertaken with HOME, CDBG, or HOPWA funds. This objective focuses on housing activities whose purpose is to meet individual family or community needs. It does not include programs where

housing is an element of a larger effort to make community-wide improvements, since such programs would be more appropriately reported under Suitable Living Environments.

- **HUD Objective 3: Creating Economic Opportunities** – this applies to activities related to economic development, commercial revitalization, or job creation.

HUD further identifies three program outcomes to help refine the City’s objectives. Program outcomes are designed to capture the nature of the change or expected result of an activity. They are:

- **Outcome 1: Availability/Accessibility** – applies to activities that make services, infrastructure, public services, public facilities, housing, or shelter available or accessible to low- and moderate-income people, including persons with disabilities. In this category, accessibility does not refer only to physical barriers, but also to making the affordable basics of daily living available and accessible to low- and moderate-income people where they live.
- **Outcome 2: Affordability** – applies to activities that provide affordability in a variety of ways in the lives of low- and moderate-income people. It can include the creation or maintenance of affordable housing, basic infrastructure hook-ups, or services such as transportation or day care. Affordability is an appropriate objective wherever an activity is lowering the cost, improving the quality or increasing the affordability of a product or service to benefit a low-income household.
- **Outcome 3: Sustainability** – applies to activities that are aimed at improving communities or neighborhoods, helping to make them livable or viable by providing benefit to persons of low- and moderate-income or by removing or eliminating slums or blighted areas, through multiple activities or services that sustain communities or neighborhoods.

The table below illustrates the 9 possible combinations of objectives and outcomes.

	Outcome 1: Availability/Accessibility	Outcome 2: Affordability	Outcome 3: Sustainability
HUD Objective 1: Decent Housing	Accessibility for the purpose of providing Decent Housing (DH-1)	Affordability for the purpose of providing Decent Housing (DH-2)	Sustainability for the purpose of providing Decent Housing (DH-3)
HUD Objective 2: Suitable Living Environment	Accessibility for the purpose of creating Suitable Living Environments (SL-1)	Affordability for the purpose of creating Suitable Living Environments (SL-2)	Sustainability for the purpose of creating Suitable Living Environments (SL-3)
HUD Objective 3: Economic Opportunity	Accessibility for the purpose of creating Economic Opportunities (EO-1)	Affordability for the purpose of creating Economic Opportunities (EO-2)	Sustainability for the purpose of creating Economic Opportunities (EO-3)

Within their federal criteria, CDBG and HOME programs are designed to be shaped locally to meet the recipient community's specific needs. Lincoln's specific needs were identified in the three year Strategic planning process through public involvement that included four surveys -- a Community Needs Survey conducted by the Urban Development Department, a City-wide survey conducted by the Mayor's Office, a scientific survey conducted by the Lincoln-Lancaster County Planning Department in conjunction with updating the City's Comprehensive Plan, and a client survey conducted by the Center for People in Need.

Social service needs were further determined by use of the Community Services Initiatives’ (CSI) priority needs assessment. A Housing Market Analysis and data provided by HUD were used to further define housing needs.

The local needs identified were grouped into priority areas and then each priority area was evaluated based on the following criteria:

- Would it further or be consistent with a city and/or federal priority area?

- Would it impact a large number of low- and moderate-income households?
- Would it meet unique needs of certain geographic areas?
- Based on past experience, would it successfully meet the identified needs?

Using that evaluation, Lincoln's 2010 - 2013 priority areas were identified:

- Affordable housing – identified as the greatest need through the public involvement process.
- Sustainability – including urban agriculture (community gardens), promoting green space and healthy activities, and rain gardens.
- Food security – addressing the rising problem of low-income families not having enough food.
- Increasing neighborhood livability – through physical improvements to neighborhoods.
- Fair housing – removing barriers to fair housing, affirmatively furthering fair housing, and completing and implementing the Analysis of Impediments to Fair Housing.
- Transportation – improving availability for low income people.
- Jobs – training for low-income people.

To address these priority areas, local objectives and activities were developed. The table below identifies local objectives and the corresponding HUD Objectives and Outcomes identified in the *Three-Year Strategic Plan* and are activities that will be continued in FY 12, the third year of the three-year planning period. See the 'CommunityDev' Table, 2012 Projects, and 2012 Summaries in the "Third Year Action Plan Attachments" for additional details.

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Local Housing Objectives	HUD Objective/ Outcome
Objective 1: Preserve and provide for safe and decent affordable housing by promoting the preservation and revitalization of affordable housing and rehabilitation of substandard or inadequate housing throughout the City with emphasis on the Neighborhood Revitalization Strategy Area (NRSA) and the low- to moderate-income (LMI) area.	
Activity 1: Continue the Urban Development Housing Rehabilitation Programs.	DH - 2
Activity 2: Continue to fund the PRIDE Program with grants to low income homeowners in NRSA neighborhoods for materials to accomplish exterior repairs. (Eliminated in FY 11 due to cuts in federal funding).	DH - 2
Activity 3: Continue to use lead-based paint certified housing staff to inform and educate housing clients, non-profit agencies, and the private sector housing community.	DH - 2
Activity 4: Continue to work with the Mayor's Stronger, Safer Neighborhoods Program.	DH - 2
Activity 5: Continue to fund the Troubled Property Program administered by NeighborWorks®Lincoln and support their effort to obtain neighborhood rehabilitation funds from other sources.	DH - 2
Activity 6: Create the RESCUE program to acquire vacant houses in the LMI with long term health and safety issues for demolition and new construction. (New in FY 11).	DH-2
Activity 7: Support non-profit landlords to sustain long-term affordability for tenants under 30% of median income and projects with expiring Low-Income Housing Tax Credits and fund a new LIHTC project using the Housing Development Loan Program (Strategic Plan amendment in FY 10 for implementation in FY 11) and complete the LIHTC project begun in FY10.	DH - 2
Activity 8: Support the efforts of Paint a thon to paint houses of elderly and disabled homeowners. Using non-Federal funds, complete one project in this fiscal year. (Eliminated in FY 11 due to cuts in federal funding).	DH - 2
Activity 8: Support the RESToRE Program which is the Revitalization of Eleventh Street Thorough Rehabilitation of Exteriors. (Added by amendment to the Strategic Plan and FY 11 Action Plan in FY 11).	DH - 2
Objective 2: Assist low-income homeowners in sustaining their home ownership status during emergency situations and reduce the overall instance of emergency situations.	
Activity 1: Continue to fund the Rebuilding Together Project through the HEART (Helping Elderly Access Rebuilding Together) Program. (Eliminated in FY 10 due to local Rebuilding Together organization dissolution)	DH - 2
Activity 2: Continue to fund the Emergency Loan Program.	DH - 2
Activity 3: Continue to fund the removal of physical and architectural barriers in existing housing through the League of Human Dignity.	DH - 2

Key for HUD Objectives and Outcomes:

DH = Decent Housing SL = Suitable Living Environment EO = Economic Opportunity

1 = Availability/Accessibility 2 = Affordability 3 = Sustainability

Local Housing Objectives – <i>continued</i>	HUD Objective/ Outcome
Objective 3: Create and sustain home ownership opportunities and increase the rate of home ownership among households headed by persons who are racial and/or ethnic minorities.	
Activity 1: Continue the First Home Program (with incentives for purchasing in the NRSA and LMI), administered by NeighborWorks®Lincoln.	DH - 2
Activity 2: Continue incentives for returning veterans in the First Home Program.	DH - 2
Activity 3: Continue to fund the First Time Home Buyer Training classes administered by NeighborWorks®Lincoln.	DH - 2
Activity 4: Provide a range of technical resources, including housing program brochures and trainings, from Urban Development and other housing agencies in other languages in addition to English.	DH - 1
Activity 5: Leverage funds in conjunction with NeighborWorks®Lincoln using the Troubled Property Program for infill housing.	DH - 2
Activity 6: Continue partnering with and leveraging funds for additional home buyer programs of other housing or lending agencies.	DH - 2
Activity 7: Continue to work with the Mayor's Stronger, Safer Neighborhoods Initiative to encourage and support home ownership.	DH - 2
Activity 8: Continue to fund Affordable Housing Initiative and Habitat for Humanity through the Housing Development Loan Program to create new affordable home ownership opportunities by building affordable homes on infill lots. or by substantially rehabilitating dilapidated units. <i>(Eliminated in FY 12 due to cuts in federal funding).</i>	DH - 2
Activity 9: Continue to use CDBG to assist low-income homeowners using the Housing Rehabilitation Programs to rehabilitate their homes in order to maintain their home ownership status. <i>(HOME funds no longer used effective FY 12 due to cuts in federal funding).</i>	DH - 2
Activity 10: Create additional affordable housing opportunities by partnering with the Nebraska Department of Economic Development using Nebraska Affordable Housing Trust Funds or federal stimulus funds.	DH - 2
Activity 11: Continue the function of the Minority Outreach Committee to implement the Minority Marketing Plan.	DH - 1
Activity 12: Continue to partner with NeighborWorks®Lincoln to develop affordable and mixed income housing as part of the community revitalization portion of the Antelope Valley project.	DH - 2

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Local Housing Objectives – <i>continued</i>	HUD Objective/ Outcome
Objective 4: Remove barriers to fair housing and affirmatively further fair housing.	
Activity 1: Encourage providers of affordable housing and special needs housing to work with neighborhood associations before and during the process of obtaining permits.	DH - 1
Activity 2: Improve and expand the collection and sharing of fair housing and affordable housing information across public and private entities, among housing industry entities, and within the public realm to improve understanding of fair housing laws, encourage reporting of violations, address existing and future housing needs, facilitate implementation of best practices, and reduce discrimination.	DH - 1
Activity 3: Monitor, evaluate, and update fair housing plans, policies, and programs to ensure that the City is affirmatively furthering fair housing as required by HUD.	DH - 1
Activity 4: Continue to participate on boards and committees of local organizations (public, private, and nonprofit) to share fair housing information.	DH-1
Activity 5: Work with Lincoln Commission on Human Rights to monitor compliance of Title 11 of the Lincoln Municipal Code with Federal Fair Housing Law.	DH - 1
Activity 6: Monitor compliance with affirmative fair housing requirements by sub-recipients and borrowers.	DH - 1
Activity 7: Continue to implement and update the "Minority Marketing Plan" for Urban Development programs.	DH - 1
Objective 5: Strengthen or establish public policies, procedures, and institutions that support and maintain the quality, affordability, accessibility, sustainability and availability of housing for low-income households.	
Activity 1: Continue to work within the Development Services Center to improve policies and procedures to enhance the quality of older neighborhoods.	DH - 1
Activity 2: Continue to work with the Mayor's Stronger, Safer Neighborhoods Program.	DH - 1
Activity 3: Continue to work with the Nebraska Department of Economic Development on strengthening our policies and procedures in creating affordable housing.	DH - 1

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Local Public Facilities and Improvements Objectives	HUD Objective/ Outcome
Objective 1: Further the City’s priorities of Antelope Valley and Stronger Safer Neighborhoods.	
Activity 1: Complete public improvements in the Malone neighborhood and South Capitol area (Near South and Everett neighborhoods) that implement projects identified in Focus Area Plans, “closer to home” strategies identified in the Antelope Valley and South Capitol Redevelopment Plans, and from Free to Grow. Projects include curbs, alleys, gutters, lighting and streetscape projects, sidewalk improvements, and tree planting.	SL - 3
Activity 2: Support and utilize the Free to Grow Program in the Malone neighborhood and South Capitol Area. Free to Grow is a neighborhood revitalization program with partners from the private, nonprofit, and public sectors working together to help develop solutions to problems that contribute to crime, an unhealthy environment, and substandard housing.	SL - 3
Objective 2: Implement sustainability projects consistent with the Mayor’s Energy and Sustainability Policy, and federal emphasis on sustainability.	
Activity 1: Complete park improvement projects in LMI neighborhoods. Recreation and access to open spaces and open lands is an element of sustainable communities -- promoting green space and healthy activities.	SL - 3
Activity 2: Design and install rain gardens in LMI parks, and other property owned by the public and nonprofit organizations. Rain gardens are depressions planted with native or adapted plants that help absorb excess water and filter out excess nutrients before rain water enters the groundwater system. This activity complements a rain garden grant program for private property owners offered by the Watershed Management Division of the City Public Works and Utilities Department.	SL - 3
Objective 3: Improve the livability of core neighborhoods by improving general neighborhood conditions.	
Activity 1: Provide non-federal funding for LMI neighborhood self-help grants for clean-up and improvement projects.	SL - 3
Activity 2: Continue to fund the Tree Management and the Demolition of Secondary Structures Programs. The Tree Management Program provides grants to low-income property owners and investors with low income tenants for removal of dead and potentially dangerous branches or removal of dead or dangerous trees. The Demolition of Secondary Structures Program provides grants to low income people for removal of substandard and dangerous buildings. (Eliminated in FY 12 due to cuts in federal funding).	SL - 3

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Local Public Services Objectives	HUD Objective /Outcome
Objective 1: Increase food security for low-income people and families.	
Activity 1: Support Community CROPS in the installation and operation of community gardens and orchards in LMI parks and other property owned by public and nonprofit organizations. The lack of food and accompanying issue of hunger is a priority need in Lincoln. In addition to providing food for low-income people, community gardens further sustainability by promoting urban agriculture, reducing transportation costs for food, and encouraging healthy and ecologically sound food production.	SL - 1
Activity 2: Provide scholarships to low-income people to rent garden space in community gardens. Deleted by amendment in January 2011.	SL - 2
Activity 3: Support operations for Community CROPS.	SL - 2
Objective 2: Provide services and support for returning veterans and their families.	
Activity 1: Install a Community Garden designated for returning veterans and their families. (Eliminated in FY 12 due to lack of local support).	SL - 1
Objective 3: Work to improve transportation options for low-income people.	
Activity 1: Support CSI transportation goals for low-income people by continuing to serve on the grant selection committee for Federal Transit Administration Job Access & Reverse Commute (JARC) and New Freedom funds. These programs are intended to expand transportation services to the elderly, low-income, or disabled residents in the Lincoln area.	SL - 2
Objective 4: Increase job opportunities for low-income people.	
Activity 1: Provide funding to support One Stop Employment Solutions.	EO - 1
Objective 5: Continue to work toward reducing poverty in Lincoln.	
Activity 1: Continue staff participation with CSI and with other agencies, boards, and committees working to reduce poverty in Lincoln.	SL - 2

Key for HUD Objectives and Outcomes:

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Local Economic Development Objectives	HUD Objective/ Outcome
Activity 1: Continue the administration of outstanding economic development loans. Establish a relationship with the City Law Department in dealing with outstanding loans.	EO - A/A

Additional Local Community Development Objectives	HUD Objective/ Outcome
Objective 1: Actively work to further Fair Housing.	
Activity 1: Continue and complete the update the Analysis of Impediments to Fair Housing.	SL - 1
Activity 2: Educate City staff and elected officials about fair housing laws and the benefits of equal access to affordable housing through the annual Civil Rights Conference hosted by the Lincoln Commission on Human Rights.	SL - 1
Activity 3: Continue staff participation on the Human Rights Conference planning committee	SL - 1
Objective 2: Continue support for the Homeless Management Information System (HMIS), an electronic data collection system that stores longitudinal person-level information about people who access the homeless services system.	
Activity 1: Continue to fund HMIS.	SL - 2

Local Specific Special Needs Objectives	HUD Objective/ Outcome
Objective 1: Create and sustain affordable housing opportunities for Special Needs Populations.	
Activity 1: Assist in maintaining the stock of rental and owner housing for Special Needs Populations.	DH - 2
Activity 2: Assist in increasing the supply of rental and owner housing for Special Needs Populations.	DH - 1
Activity 3: Enhance the provision of supportive housing services.	DH - 1
Activity 4: Remove regulatory barriers to housing for Special Needs Populations	DH - 1

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As the new Strategic Plan was being prepared, evaluation of the previous plan, the *Five-Year Strategic Plan for 2005 - 2009*, showed the majority of activities achieving planned results. A further look shows:

- The strongest successes were in activities that helped provide or maintain housing for low- and moderate-income households.
- Activities that helped first-time home buyers responded to the difficult economy by slowing, but then stabilized.
- Activities aimed at stabilizing or improving neighborhood living environments achieved planned, expected results.
- A few activities were revised mid-plan period, to improve effectiveness, and
- A few activities were discontinued during the five-year period -- some due to ineffectiveness, some due to staffing cuts -- with funds redirected to other activities.

GENERAL

General Questions

1. Describe the geographic areas of the jurisdiction (including areas of low income families and/or racial/minority concentration) in which assistance will be directed during the next year. Where appropriate, the jurisdiction should estimate the percentage of funds the jurisdiction plans to dedicate to target areas.
2. Describe the basis for allocating investments geographically within the jurisdiction (or within the EMSA for HOPWA) (91.215(a)(1)) during the next year and the rationale for assigning the priorities.
3. Describe actions that will take place during the next year to address obstacles to meeting underserved needs.
4. Identify the federal, state, and local resources expected to be made available to address the needs identified in the plan. Federal resources should include Section 8 funds made available to the jurisdiction, Low-Income Housing Tax Credits, and competitive McKinney-Vento Homeless Assistance Act funds expected to be available to address priority needs and specific objectives identified in the strategic plan.

Program Year 3 Action Plan General Questions response:

1. Describe the geographic areas of the jurisdiction (including areas of low income families and/or racial/minority concentration) in which assistance will be directed during the next year. Where appropriate, the jurisdiction should estimate the percentage of funds the jurisdiction plans to dedicate to target areas.

The Lincoln city limit boundaries define the jurisdiction; however, assistance will be directed primarily in the low- to moderate-income areas (LMI) and the Neighborhood Revitalization Strategy Area (NRSA). Please see the 'LMI NRSA' and 'Minority' maps in the Third Year Action Plan Attachments. No area comprises greater than 10% of any majority minority race at the analysis level provided by the City/County Health department. Goals for activities in FY12 overall and within the NRSA, including an estimate of the percentage of funds dedicated to the NRSA, are:

Activity	Year 3 Goal - Total	Year 3 NRSA Goal	% in NRSA
Rehab; Single-Unit Residential (4 programs)	68	30	44%
Lead-Based/Lead Hazard Test/Abate	4	2	50%
Home ownership assistance	56	28	50%
Parks and/or Recreation Facilities	1	0	0%
Tree Planting	2 projects	2 projects	100%
Flood Drainage Improvements (rain gardens)	2 projects	1 project	50%
Street Improvements (gravel alleys)	10 alleys	All are in the NRSA	100%
Sidewalks (streetscapes and sidewalk improvements)	Complete streetscape begun in FY 10; 1 sidewalk project	All are in the NRSA	100%
Tree Management & demo of secondary structures (deleted in FY 12 due to lack of federal funds)	6 trees, 1 structure	All are in the NRSA	100%
Public Facilities (General) (construct community gardens)	60 people	20	33%
Public Services (General) (scholarships for community gardens) deleted by amendment January 2011.	100 people	34 people	34%

2. Describe the basis for allocating investments geographically within the jurisdiction (or within the EMSA for HOPWA) (91.215(a)(1)) during the next year and the rationale for assigning the priorities.

Allocating investments geographically was based on the LMI and NRSA and two City priorities: 1) the Stronger, Safer, Neighborhood Initiative in the Near South and Everett Neighborhoods, and 2) the Antelope Valley Project, focusing on the Malone Neighborhood. Priorities were assigned in the Strategic Plan based on:

- o Public input through a Community Needs Survey, conducted by Urban Development and other community surveys,
- o City priorities: Mayor's Energy and Sustainability Policy (in progress), Antelope Valley, and the Stronger, Safer Neighborhoods Initiative,
- o Federal priorities: sustainability, homelessness, returning veterans and their families, and Fair Housing,
- o Community Services Initiative (CSI) priorities.

These priorities were reviewed and remain the same for the third program year.

3. Describe actions that will take place during the next year to address obstacles to meeting underserved needs.

Obstacles to meeting under-served needs were identified in the Strategic Plan as:

- o The current financial and mortgage crisis:
More families are in need of services and there is an increased need for affordable housing, both owner and renter, while lenders are making it more difficult for new home buyers, and waiting lists for rental assistance are longer. Social service needs are also greater, resulting in higher needs for food and more families struggling to make ends meet, having to choose between food and other needs. Social service agencies are more stressed to meet needs while the financial crisis has reduced donations.

- Jobs:
The need for good paying jobs, problems due to loss of jobs (and resulting family budgetary issues including loss of housing) people working at low paying jobs and not making ends meet.

The City of Lincoln will address obstacles to meeting underserved needs by targeting funds to projects addressing their needs:

- Current financial and mortgage crisis: although the city cannot directly impact the financial and mortgage crisis, we can address one of the greatest resulting needs: housing affordability. Most housing programs provided by the Urban Development Department are aimed at improving affordability. Other actions include funding a community garden/orchard program that addresses food security and also sustainability.
 - Jobs: funding will be provided to One Stop Employment Solutions for staffing to provide job-training and job-preparation services.
4. Identify the federal, state, and local resources expected to be made available to address the needs identified in the plan. Federal resources should include Section 8 funds made available to the jurisdiction, Low-Income Housing Tax Credits, and competitive McKinney-Vento Homeless Assistance Act funds expected to be available to address priority needs and specific objectives identified in the strategic plan.

Federal	
CDBG Annual Entitlement	\$1,564,325
CDBG Program Income	\$750,000
HOME Annual Entitlement	\$793,930
HOME Program Income	\$450,000
Lincoln Housing Authority, Public Housing*	To be provided
Lincoln Housing Authority, Sect. 8*	To be provided

*information requested

State	
NE Dept. of Economic Development, Affordable Housing Trust Fund	\$250,000
NIFA, Low-income Housing Tax Credit Program	\$3,722,210

Local	
Private (banks, First HOME Program)	\$5,600,000
Capital Improvement Program (CIP, TIF)	\$855,400
NIFA bond (through local banks, HILP)	\$250,000

The City of Lincoln does not directly receive McKinney-Vento Homeless Assistance Act funds. However, McKinney-Vento resources are available to the community through federal funding via HUD’s CoC Grant competition, the distribution of ESG and other state funds for homeless services, and Section 8 vouchers distributed through Lincoln’s Housing Authority. Resources for these activities are expected to be similar to funding totals for last year, which are:

FY 11 HUD CoC Grant Recipients	
St Monica's	\$140,456
Cedars	\$130,707
CenterPointe/Permanent Housing Project	\$191,797
CenterPointe/Adult and Youth Residential	\$446,251
CenterPointe/Glide PATH	\$191,642
Lincoln Action Program	\$460,862
Catholic Social Services	\$95,658
CenterPointe/Transitions 2	\$93,145

FY 12 Nebraska Housing Assistance Program Funds (ESG + Nebraska Homeless Shelter Assistance Trust Funds)
*The State of Nebraska, who administers the ESG and Nebraska Homeless Shelter Assistance Trust Fund, have not made funding announcements for Lincoln at this time.

FY 12 (April 1, 2012 – March 31, 2012) Lincoln Housing Authority	
Section 8 Housing Assistance*	To be provided

*Information requested

The FY 12 budget for CDBG and HOME funds is on the following page.

DRAFT

HOUSING	CDBG	HOME	PROGRAM INCOME		Total
			CDBG	HOME	
Lead-based Paint Mitigation	\$50,000				\$50,000
Housing Development Loan Program				\$100,000	\$100,000
Direct or Deferred Loan Program	\$112,160		\$280,000		\$392,160
Home Improvement Loan Program			\$50,000		\$50,000
First Home Program <i>(amended 9/11 to add CDBG)</i>		\$350,000	\$245,000	\$150,000	\$745,000
First Time Homebuyer Training	\$135,742				\$135,742
Community Housing Development Organization (CHDO) Operating		\$39,696			\$39,696
Troubled Property Program (15% CHDO set aside)		\$119,089		\$200,000	\$319,089
Emergency Repair Loan	\$36,000		\$110,000		\$146,000
RESCUE (Rescue Effort to Save Crumbling Urban Environment)		\$150,540			\$150,540
Security Deposit Assistance (Lincoln Housing Authority)		\$25,000			\$25,000
Barrier Removal Program (League of Human Dignity)	\$30,000				\$30,000
Mobile Emergency Service Subsidy	\$15,000				\$15,000
Housing Rehabilitation Administration	\$384,715				\$384,715
NeighborWorks Housing Rehabilitation Administration	\$42,622				\$42,622
HOME Program Administration		\$109,605			\$109,605
Housing Total	\$806,239	\$793,930	\$685,000	\$450,000	\$2,735,169

COMMUNITY DEVELOPMENT

Public Improvements Identified In Focus Area Plans	\$255,000				\$255,000
Community Gardens/Orchards			\$25,000		\$25,000
Rain Gardens			\$25,000		\$25,000
Park Improvements in LMI Neighborhoods	\$25,000				\$25,000
HMIS			\$15,000		\$15,000
Community Development Total	\$280,000		\$65,000		\$345,000

ECONOMIC OPPORTUNITY

Workforce Development (One-Stop Career Center)	\$30,000				\$30,000
Economic Opportunity Total	\$30,000				\$30,000

ADMINISTRATION

Administration Division	\$194,672				\$194,672
Community Development Staffing	\$253,414				\$253,414
Administration Total	\$448,086				\$448,086

GRAND TOTAL	\$1,564,325	\$793,930	\$750,000	\$450,000	\$3,558,255
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The City of Lincoln does not have any revolving loan funds, float-funded activities, 108 loan guarantees, surplus funds from any urban renewal settlement for community development and housing activities, or grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan.

Managing the Process

1. Identify the lead agency, entity, and agencies responsible for administering programs covered by the consolidated plan.
2. Identify the significant aspects of the process by which the plan was developed, and the agencies, groups, organizations, and others who participated in the process.
3. Describe actions that will take place during the next year to enhance coordination between public and private housing, health, and social service agencies.

Program Year 3 Action Plan Managing the Process response:

1. Identify the lead agency, entity, and agencies responsible for administering programs covered by the consolidated plan.

The City of Lincoln's Urban Development Department is the lead agency for administering programs included in the Plan. The Department has six divisions: Administration which includes Downtown Redevelopment; Community Development; Housing Rehabilitation and Real Estate; Workforce Investment Administration; One Stop Employment Solutions (Workforce Investment Act Program); and Parking Services. The Workforce Investment Administration Division and One Stop Employment Solutions Division are responsible for the oversight of job training funds from the U.S. Department of Labor. The Parking Services Division's mission is to protect the City's investment in the parking system by maintaining and improving on safe, reliable, and efficient parking facilities and equipment. The Divisions involved in the administration of this Plan are responsible for the following:

- o Administration: General program oversight, fiscal management and program monitoring.
- o Downtown Redevelopment: Downtown redevelopment primarily using Tax Increment Financing (TIF).
- o Community Development: Research, planning, and report preparation; project management; neighborhood assistance; focus area planning and plan implementation; Fair Housing, homelessness, public participation; non-downtown redevelopment activities using TIF funds; and administration of the City's Economic Development loan programs.
- o Housing Rehabilitation and Real Estate: Program development, administration, and staffing of the City's housing rehabilitation programs; management of housing funds to non-profit organizations; relocation, property acquisition for all City departments, and the sale of the City-owned surplus property for various City departments.

Major agencies responsible for administering the programs outlined in the Consolidated Plan include:

- o NeighborWorks®Lincoln through the Homebuyer Training Program, Troubled Property Program, and the First Home Program which assists with down payment assistance.
- o The Lincoln Housing Authority which administers the Security Deposit Program.
- o The League of Human Dignity which operates the Barrier Removal Program.
- o The Homeless Coalition, Lincoln's Continuum of Care, is an organization of homeless service providers, homeless individuals, and other community stakeholders. Organizational responsibilities include:
 - 1) development of Lincoln's annual Continuum of Care Grant,
 - 2) an agency peer review process,

- 3) administration of the “Point-in-Time” count, and 4) strengthening collaboration and efficiency in services proved to the homeless.
2. Identify the significant aspects of the process by which the plan was developed, and the agencies, groups, organizations, and others who participated in the process.

The process for developing the Strategic Plan was based first on identifying needs. To identify housing needs, input was received from non-profit housing organizations and the results of four surveys. CHAS data was also used along with the Housing Market Analysis. To identify non-housing needs, two methods were used: 1) public involvement that focused on the use of four surveys, and 2) identifying social service needs through Community Services Initiative (CSI), a community-wide planning effort designed to identify emerging issues and critical needs in the local health and human services delivery system.

Needs were then considered within the context of local and federal priorities:

- A. Local priorities:
Local priorities include the Mayor's Energy and Sustainability policy (in progress), Antelope Valley, and the Stronger, Safer Neighborhoods Initiative. The City's outcome-based budgeting process was also considered: through an extensive public involvement process, the Mayor has developed three tiers of budgetary priorities for City involvement. For the Urban Development Department, housing and neighborhood revitalization activities are ranked in Tier 1, the highest priority and economic development as Tier 3, the lowest priority.
- B. Federal priorities considered include sustainability, homelessness, returning veterans and their families, and Fair Housing.

Needs were then prioritized based on the following criteria:

- It furthers or is consistent with a city and/or federal priority area.
- It is identified as a need in the community involvement process.
- Its impacts on a large number of low- and moderate-income households.
- It meets unique needs of certain geographic areas.
- It reflects past successes of projects and activities in meeting needs.

Lastly, objectives and strategies were developed to address the identified needs. This process and the identified needs were reviewed during development of the Third Program Year Plan and determined to remain accurate.

Participation in the process by public and private agencies that provide health services, social and fair housing services is an on-going process throughout the year. Urban Development participates in Community Services Initiative (CSI), a community-wide planning effort designed to identify emerging issues and critical needs in the local health and human services delivery system. For the Strategic Plan, contact was also made with the Human Services Federation for survey input. The Federation is comprised of representatives from 125 non-profit agency members dedicated to providing quality health and human services in Lincoln and Lancaster County.

Additional participation during Plan development included Community CROPS (Combining Resources, Opportunities and People for Sustainability), the City's Stormwater Management Division of Public Works and Utilities, and the Parks and Recreation Department.

Regarding homeless issues, Urban Development staffs Lincoln's CoC, ensuring participation on an on-going basis. Lincoln's CoC collaborates with a wide variety of local, state, and federal entities to identify homeless needs and ensuring participation from these other agencies. For detailed information on the

agencies, groups, and organizations who participate in Lincoln's CoC, please refer to sections 1A - 1D in *Lincoln's 2011 Continuum of Care (CoC) Exhibit 1* available at www.lincoln.ne.gov, keyword: homeless.

On general housing issues, participants included the non-profit housing organizations that are subrecipients for the City of Lincoln including NeighborWorks® Lincoln, Affordable Housing Initiative, Habitat for Humanity, League of Human Dignity, and Rebuilding Together. Other agencies consulted on an ongoing basis include: Lincoln Housing Authority, Community Action Partners, REALTORS®, Nebraska Investment Finance Authority, and Nebraska Department of Economic Development.

On lead-based paint issues, the City of Lincoln consults with Nebraska Asbestos and Lead Based Paint Abatement Program and Lincoln-Lancaster Health Department.

For public housing issues, consultation was made with the Lincoln Housing Authority (LHA). LHA is a governmental entity established in 1946 with the mission of providing housing to the residents of Lincoln, Nebraska. LHA receives ongoing feedback from their clients and Urban Development staff consults with LHA at the end of each fiscal year and on an as-needed basis.

Participation by neighborhood associations was achieved through the surveys, attendance by staff at regular neighborhood meetings, and the Mayor's Neighborhood Roundtable.

3. Describe actions that will take place during the next year to enhance coordination between public and private housing, health, and social service agencies.

The City of Lincoln partners with public and private sectors, neighborhoods, and health and social service agencies to accomplish its goals. Coordination occurs through regular communication via meetings, phone calls, and e-mail. Urban Development staff sits on various boards and regularly attend meetings with other agencies and non-profits to enhance communication and coordination.

Citizen Participation

1. Provide a summary of the citizen participation process.
2. Provide a summary of citizen comments or views on the plan.
3. Provide a summary of efforts made to broaden public participation in the development of the consolidated plan, including outreach to minorities and non-English speaking persons, as well as persons with disabilities.
4. Provide a written explanation of comments not accepted and the reasons why these comments were not accepted.

*Please note that Citizen Comments and Responses may be included as additional files within the CPMP Tool.

Program Year 3 Action Plan Citizen Participation response:

1. Provide a summary of the citizen participation process.

The City of Lincoln has a *Citizen Participation Plan* that details the public involvement process. The Plan is available at www.lincoln.ne.gov, keyword: urban. Public participation is an on-going process, not confined to preparation of the Strategic Plan. The public is encouraged to participate by becoming involved with their neighborhood associations, in other community organizations and in business associations. Residents are also encouraged to attend public hearings and open houses held for special projects and plans.

The *Citizen Participation Plan* specifies that during development of the Strategic Plan, ad hoc committees and/or surveys may be used to obtain additional input. For the current Strategic Plan, surveys were

heavily relied upon. Four surveys were used to obtain public input: (1) a Community Needs Survey administered by Urban Development that surveyed staff and board members from housing providers (all facets including, realtors, bankers, and agencies providing home ownership, rehab, and rental assistance), social service agencies, and Neighborhood Association Presidents. (2) A City-wide survey conducted by the Mayor's Office. (3) A scientific survey conducted by the City's Planning Department for the update of the City's Comprehensive Plan. (4) Surveys of clients conducted by the Center for People in Need. Details about the surveys are included in the *2010-2012 Strategic Plan*. Survey results are summarized below:

Community Needs Survey: This unscientific survey was conducted by the Urban Development Department. Survey results indicated that by far the greatest need in Lincoln is affordable housing, for both homeowners and renters. All groups surveyed indicated affordable housing as the greatest need. The need for good paying jobs was also cited repeatedly by all groups as a high priority. Other issues identified most often included:

- Learning to spend and save wisely
- Medical costs and access
- Mental health, behavioral health and substance abuse assistance
- Transportation issues
- Special needs housing – the need for more and for more services
- Housing discrimination as a barrier to fair housing
- Immigrant needs and discrimination
- Need for tenant education
- Cleaning up the core of the city
- Homelessness and the need for emergency and transitional housing

City-Wide Satisfaction Survey: This was a scientific survey coordinated by the Mayor's Office. At the request of Urban Development, University of Nebraska Public Policy Center compiled results comparing responses from people living in zip codes primarily representing the LMI neighborhoods to the rest of the city. Results were generally the same with a few exceptions:

- The number of unsightly or blighted properties in the city: 46.2% of LMI respondents were dissatisfied or very dissatisfied compared to 35.6% for the rest of the city.
- Availability of affordable quality housing: 22.5% of LMI respondents were dissatisfied or very dissatisfied compared to 14.4% for the rest of the city.
- General safety and security in your neighborhood: 18.3 % of LMI respondents were dissatisfied to very dissatisfied compared to 8.2% of the remaining city. Conversely, 84.1% of the remaining city was satisfied to very satisfied compared to 66.3% for LMI residents.

2010 Public Opinion Survey of Planning and Development Issues in Lincoln and Lancaster County*: This survey was completed by the Sigma Group, LLC for the Lincoln-Lancaster County Planning Department as part of the Comprehensive Plan update.

- Respondents were more likely to agree that there are plenty of housing choices by type and size (90%) than by price range (79%). People living the northeast area were less likely than others to agree that housing choices were adequate across price ranges (72%).
- Five out of six respondents agreed that more production of local food sources should be encouraged (84%). Agreement on this issue was greater among those under 45 (89%) compared to those 65 and older (72%).
- Limiting energy consumption is an important issue to 82% of residents.

Overall, residents put the greatest importance on six planning priorities:

- Investing in clean alternative energy sources.
- Developing and maintaining a system of parks and recreation facilities.
- Developing water conservation policies that reduce water usage.
- Widening existing roads to provide better traffic flow in the north-south direction.
- Preserving the quality of rural life and highly productive agricultural land in the county.
- Maintaining and preserving existing wetlands, streams, trees, flood plains, wildlife habitat, and other natural resources.

*Source: Sigma Group, LLC draft report, April 2010, pp. *i.*, *vi.*

Center For People in Need (CFPIN): CFPIN has completed five annual poverty surveys and published results in *The Face of Poverty Today in Lincoln, Nebraska*. Some key findings from the December, 2008 report are included below and are discussed in more detail in the Strategic Plan. For the FY12 Action Plan, the most recent CFPIN survey results report (survey conducted in December, 2010) was reviewed. The findings below and included in the Strategic Plan are still applicable.

- Challenges Faced in Finding Employment
- Food Challenges
- Problems Paying for Utilities...Rent or Mortgage

The full report can be requested from the Center For People in Need¹

Other public involvement included:

- A 30 day public comment period began on June 13, 2012. A public notice was published in the legal notices section of the *Lincoln Journal Star* on June 12, 2012 and at www.lincoln.ne.gov, keyword: *urban*. Residents were advised that the document is available: 1) on the Urban Development Department Web page: www.lincoln.ne.gov, keyword: *urban*; and 2) at the Urban Development Department office: 555 S. 10th, Suite 205, Lincoln, NE 68508.
- Announcement of the availability of the draft plan at the Mayor's Neighborhood Roundtable on June 11, 2012. The Roundtable meets with the Mayor monthly to discuss issues and topics of interest and concern to neighborhoods. 22 neighborhood representatives attended representing 20 neighborhood associations.
- The Urban Development Department also held a public open house to present needs, goals, objectives and proposed actions in the Action Plan. The meeting was held on June 18, 2012 at the Urban Development Department office. Notice of the meeting containing date, time, place, and purpose was published on June 12, 2012 in the *Lincoln Journal Star*, and announced at the Mayor's Neighborhood Roundtable. No one attended the meeting and no comments were received.
- The plan also went before the Lincoln Council on July 9, 2012 for public hearing and approval. ~~No citizens spoke and the Plan was unanimously approved by the City Council.~~ UPDATE AFTER COUNCIL MEETING.

2. Provide a summary of citizen comments or views on the plan UPDATE THIS SECTION AFTER PUBLIC MEETINGS

~~Comments at the Mayor's Neighborhood Roundtable primarily pertained to the Emergency Repair Program for Mobile Homes. Questions were asked about the types of repairs funded and the logic in investing in this type of housing. Members were accepting of the explanation about the types of repairs~~

¹ www.centerforpeopleinneed.org

funded, often a furnace or hot water heater. Staff explained that many mobile home occupants are very low income. By funding emergencies such as these, residents remain housed. Many households are close to homelessness which this program prevents. Other comments pertained to the cuts in federal funding and concerns over the affects of cuts at the local level.

Comments made by the person attending the Open House were regarding the reduction in funding and her opinion that the City has done an excellent job with the cuts and made good choices.

3. Provide a summary of efforts made to broaden public participation in the development of the consolidated plan, including outreach to minorities and non-English speaking persons, as well as persons with disabilities.

Efforts were taken to encourage participation and input of all residents as follows:

- o Low- and moderate-income residents where housing and community development funds may be spent: through surveys, on-going attendance by Urban Development staff at neighborhood and business association meetings.
 - o Minorities and non-English speaking persons, as well as persons with disabilities: through surveys, particularly use of The Center for People in Need survey, and through contact with agencies serving non-English speaking people and those with disabilities.
 - o Local and regional institutions and other organizations (including businesses, developers, community and faith-based organizations): through one-on-one meetings, surveys, and attending meetings of organizations and business associations.
 - o Residents of public and assisted housing developments and recipients of tenant-based assistance: through surveys and agencies.
 - o Residents of targeted revitalization areas: through surveys and attending neighborhood association meetings.
4. Provide a written explanation of comments not accepted and the reasons why these comments were not accepted.

UPDATE AFTER COMMENT PERIOD

Institutional Structure

1. Describe actions that will take place during the next year to develop institutional structure.

Program Year 3 Action Plan Institutional Structure response:

The Urban Development Department has an established Institutional Structure in place. Please refer to the "Second Year Action Plan Attachments" for a description. Implementation of the Annual Action Plan will involve the for-profit, non-profit, and public agencies included in the description. Maintaining communication with these various entities will continue to foster good relationships resulting in enhancing the existing institutional structure. Communication with additional groups or agencies that occurs through implementation of the Action Plan will further develop the existing institutional structure.

Monitoring

1. Describe actions that will take place during the next year to monitor its housing and community development projects and ensure long-term compliance with program requirements and comprehensive planning requirements.

Program Year 3 Action Plan Monitoring response:

Program/project monitoring ensures that the City and its subrecipients (or borrowers) meet performance objectives within schedule and budget. Most importantly, it provides documentation of the City's compliance with program (CDBG, HOME) objectives, rules, regulations and Building and Safety standards.

A standardized procedure for review and monitoring has been established utilizing monitoring tools developed for CDBG, HOME, ESG, and ADDI programs (although ESG and ADDI funds are no longer received by the City of Lincoln). Areas addressed include: (1) Project or Program Status; (2) Program Benefit; (3) Fair Housing/Equal Opportunity; (4) Consistency of Records; and (5) Financial Accountability. Desk-top reviews involve examining progress reports, compliance reports, and financial information. On-site monitoring visits are performed to determine adequate control over program and financial performance and to verify proper records maintenance. On-site visits also involve examining beneficiary documentation, audit reports, accounting records, invoices, payroll documentation, and timesheets.

The Program Monitor also works with subrecipients and borrowers to ensure compliance with all Federal rules and regulations. Occupancy reports submitted for assisted housing projects are examined to determine compliance with affordability and tenant eligibility. Interviews with contractor employees are conducted and certified payrolls are examined to ensure compliance with Fair Labor Standards and Davis-Bacon wage requirements.

Lead-based Paint

1. Describe the actions that will take place during the next year to evaluate and reduce the number of housing units containing lead-based paint hazards in order to increase the inventory of lead-safe housing available to extremely low-income, low-income, and moderate-income families, and how the plan for the reduction of lead-based hazards is related to the extent of lead poisoning and hazards.

Program Year 3 Action Plan Lead-based Paint response:

The goal for the number of housing units to be rehabilitated for this year is 68; of those, 30 will be in the NRSA. The majority of the houses in the NRSA will contain lead paint based on the average year built. Every house receives the appropriate level of lead analysis by Urban Development's Certified Lead Assessors based on the work performed. The First Home Program administered by NeighborWorks®Lincoln will provide down payment assistance and rehabilitation for 56 first time home buyers. Each house will receive a lead inspection and the appropriate level of lead paint mitigation. A new EPA lead paint regulation went into affect for all contractors in 2009. UD will work with contractors to coordinate the existing HUD lead regulations with the new EPA rules in order to assure low income households receive the best possible protection from lead paint hazards.

HOUSING

Specific Housing Objectives

*Please also refer to the Housing Needs Table in the Needs.xls workbook.

1. Describe the priorities and specific objectives the jurisdiction hopes to achieve during the next year.
2. Describe how Federal, State, and local public and private sector resources that are reasonably expected to be available will be used to address identified needs for the period covered by this Action Plan.

Program Year 3 Action Plan Specific Objectives response:

1. Describe the priorities and specific objectives the jurisdiction hopes to achieve during the next year.

Objective 1: Preserve and provide for safe and decent affordable housing by promoting the preservation and revitalization of affordable housing and rehabilitation of substandard or inadequate housing throughout the City with emphasis on the NRSA and the LMI area.

- o Activity 1: Continue the Urban Development Housing Rehabilitation Programs.

Project	Matrix Code, National Objective	Goals Per Year			Total
		FY 10	FY11	FY 12	
Housing Improvement Loan Program (HILP) and Deferred Payment Loan (DPL) Program	14A Rehab; Single-unit Residential 570.202	38	33	33	104 Projects

- o Activity 2: — Continue to fund the PRIDE Program with grants to low income homeowners in NRSA neighborhoods for materials to accomplish exterior repairs. (Eliminated in FY 11 due to cuts in federal funding).

Project	Matrix Code, National Objective	Goals Per Year			Total
		FY 10	FY11	FY 12	
Pride Program	14A Rehab; Single-unit Residential 570.202	105	105	105	105 Projects

- o Activity 3: Continue to use lead-based paint certified housing staff to inform and educate housing clients, non-profit agencies, and the private sector housing industry.

Project	Matrix Code, National Objective	Goals Per Year			Total
		FY 10	FY11	FY 12	
Lead-Based Paint Testing and Mitigation	14I Lead-Based/Lead Hazard Test/Abate 570.202	8	4	4	16

- o Activity 4: Continue to work with the Mayor’s Stronger, Safer Neighborhoods Initiative.
- o Activity 5: Continue to fund the Troubled Property Program administered by NeighborWorks® Lincoln and support their effort to obtain neighborhood rehabilitation funds from other sources.

Project	Matrix Code, National Objective	Goals Per Year			Total
		FY 10	FY11	FY 12	
Troubled Property Program	12 Construction of Housing 570.201(m)	3	3	3	9 Households

- o Activity 6: Create the RESCUE Program (Rescue Effort to Save Crumbling Urban Environments). Acquire vacant houses in the LMI with long-term health and safety issues for demolition and new construction (new in FY11, funded in part with FY10 HOME Carryover).

Project	Matrix Code, National Objective	Goals Per Year			Total
		FY 10	FY 11	FY 12	
RESCUE Program	12 Construction of Housing 570.201(m)	0	1	1	2 Households

- o Activity 7: Support non-profit landlords to sustain long-term affordability for tenants under 30% of median income and projects with expiring Low-Income Housing Tax Credits ~~and fund a new LIHTC project using the Housing Development Loan Program~~ (Strategic Plan amendment in FY 10 for implementation in FY 11. Funded with FY10 HOME carryover) and complete the LIHTC project begun in FY 10.

Project	Matrix Code, National Objective	Goals Per Year			Total
		FY 10	FY 11	FY 12	
Housing Development Loan Program	12 Construction of Housing 570.201(m)	0	14	0	14 Projects

- o ~~Activity 8: Support the effort of Paint a thon to paint houses of elderly and disabled home owners. Using non-Federal funds, complete one project in this fiscal year. (Eliminated in FY 11 due to cuts in federal funding).~~

- o Activity 9: Support the RESToRE Program (Revitalization of Eleventh Street Through the Rehabilitation of Exteriors) by the rehabilitation of the exteriors for both owner-occupied and rental units along 11th Street between A to J Streets.

Project	Matrix Code, National Objective	Goals Per Year			Total
		FY 10	FY 11	FY 12	
RESToRE Loan Program	14 A and B Rehabilitation of owner-occupied and rental units. 570.202	0	0	6	6 Projects

Objective 2: Assist low-income homeowners in sustaining their home ownership status during emergency situations and reduce the overall instance of emergency situations.

- o ~~Activity 1: Continue to fund the Rebuilding Together Project through the HEART (Helping Elderly Access Rebuilding Together) Program. (Eliminated in FY 10/11 due to local Rebuilding Together organization dissolution)~~

Project	Matrix Code, National Objective	Goals Per Year			Total
		FY 10	FY11	FY 12	
Rebuilding Together	14A Rehab; Single-unit Residential 570.202	5	5	5	15 Projects

- o Activity 2: Continue to fund the Emergency Repair Program.

Project	Matrix Code, National Objective	Goals Per Year			Total
		FY 10	FY11	FY 12	
Emergency Repair Program/Mobile Emergency Service Subsidy	14A Rehab; Single-unit Residential 570.202	30	30	30	90 Projects

- o Activity 3: Continue to fund the removal of physical and architectural barriers in existing owner-occupied housing through the League of Human Dignity.

Project	Matrix Code, National Objective	Goals Per Year			Total
		FY 10	FY11	FY 12	
Barrier Removal	14A Rehab; Single-unit Residential 570.202; 14B Rehab; Multi-Unit Residential 570.202	7	5	5	17 Projects

Objective 3: Create and sustain home ownership opportunities and increase the rate of home ownership among households headed by persons who are racial and/or ethnic minorities.

- Activity 1: Continue the First Home Program (with incentives for purchasing in the NRSA and LMI) administered by NeighborWorks® Lincoln.

Project	Matrix Code, National Objective	Goals Per Year			Total
		FY 10	FY11	FY 12	
First Home	13 Direct Home Ownership Assistance 570.201(n)	54 56*	56	56	168 Projects

*Amended FY 10 to include CDBG funded units

- Activity 2: Continue incentives for returning veterans in the First Home Program.
- Activity 3: Continue to fund the First Time Home Buyer Training classes administered by NeighborWorks® Lincoln.

Project	Matrix Code, National Objective	Goals Per Year			Total
		FY 10	FY11	FY 12	
Home buyer Training	05 Public Services (General) 570.201(e)	150	150	150	450 People

- Activity 4: Provide a range of technical resources, including housing program brochures and trainings, from Urban Development and other housing agencies in other languages in addition to English.
- Activity 5: Leverage funds in conjunction with NeighborWorks® Lincoln using the Troubled Property Program for infill housing.
- Activity 6: Continue partnering with and leveraging funds for additional homebuyer programs of other housing or lending agencies.
- Activity 7: Continue to work with the Mayor’s Stronger, Safer Neighborhoods Initiative to encourage and support home ownership.
- Activity 8: Continue to fund Affordable Housing Initiative and Habitat for Humanity through the Housing Development Loan Program to create new affordable home ownership opportunities by building affordable homes on infill lots or by substantially rehabilitating dilapidated units. (Eliminated in FY 12 due to cuts in federal funding).

Project	Matrix Code, National Objective	Goals Per Year			Total
		FY 10	FY11	FY 12	
Affordable Housing Initiative	14G Acquisition for Rehabilitation 570.202	1	1	0	2 Projects

Project	Matrix Code, National Objective	Goals Per Year			Total
		FY 10	FY11	FY 12	
Habitat for Humanity	01 Acquisition of Real Property 570.201(a)	3	3	3	9 Projects

- Activity 9: Continue to assist low-income homeowners using the Housing Rehabilitation Programs to rehabilitate their homes in order to maintain their home ownership status.
- Activity 10: Create additional affordable housing opportunities by partnering with the Nebraska Department of Economic Development using Nebraska Affordable Housing Trust Funds or federal stimulus funds.
- Activity 11: Continue the function of the Minority Outreach Committee to implement the Minority Marketing Plan.

- Activity 12: Continue to partner with NeighborWorks® Lincoln to develop affordable and mixed income housing as part of the community revitalization portion of the Antelope Valley project.

Objective 4: Remove Barriers to Fair Housing and Affirmatively Further Fair Housing:

- Activity 1: Encourage providers of affordable housing and special needs housing to work with neighborhood associations before and during the process of obtaining permits.
- Activity 2: Improve and expand the collection and sharing of fair housing and affordable housing information across public and private entities, among housing industry entities, and within the public realm to improve understanding of fair housing laws, encourage reporting of violations, address existing and future housing needs, facilitate implementation of best practices, and reduce discrimination.
- Activity 3: Monitor, evaluate, and update fair housing plans, policies, and programs to ensure that the City is affirmatively furthering fair housing as required by HUD.
- Activity 4: Continue to participate on boards and committees of local organizations (public, private, and nonprofit) to share fair housing information.
- Activity 5: Work with the Lincoln Commission on Human Rights to monitor compliance of Title 11 of the Lincoln Municipal Code with Federal Fair Housing Law.
- Activity 6: Monitor compliance with affirmatively fair housing market requirements by subrecipients and borrowers.
- Activity 7: Continue to implement and update the "Minority Marketing Plan" for Urban Development Department programs.

Objective 5: Strengthen or establish public policies, procedures, and institutions that support and maintain the quality, affordability, accessibility, sustainability and availability of housing for low-income households.

- Activity 1: Continue to work within the Development Services Center to improve policies and procedures to enhance the quality of older neighborhoods.
- Activity 2: Continue to work with the Mayor's Stronger, Safer Neighborhoods Initiative.
- Activity 3: Continue to work with the Nebraska Department of Economic Development on strengthening our policies and procedures in creating affordable housing.

2. Describe how Federal, State, and local public and private sector resources that are reasonably expected to be available will be used to address identified needs for the period covered by this Action Plan.

The State of Nebraska Department of Economic Development will continue to have the Nebraska Affordable Housing Trust Fund available to the City of Lincoln. This fund will be used by the City and its nonprofit housing partners for the creation and preservation of affordable housing. It is anticipated NDED will have available \$250,000 this year.

- The private sector lenders will continue to be the first mortgage source for the affordable home ownership programs with the Federal HOME funds as down payment assistance. The private sector will supply approximately \$5,600,000 in first mortgage funds this year.
- The federal entitlement funds in the form of CDBG, HOME and American Recovery and Reinvestment Act (ARRA) will be used in conjunction with the above mentioned resources to leverage and/or finance projects in the plan.

Needs of Public Housing

1. Describe the manner in which the plan of the jurisdiction will help address the needs of public housing and activities it will undertake during the next year to encourage public housing residents to become more involved in management and participate in home ownership.
2. If the public housing agency is designated as "troubled" by HUD or otherwise is performing poorly, the jurisdiction shall describe the manner in which it will provide financial or other assistance in improving its operations to remove such designation during the next year.

Program Year 3 Action Plan Public Housing Strategy response:

1. Describe the manner in which the plan of the jurisdiction will help address the needs of public housing and activities it will undertake during the next year to encourage public housing residents to become more involved in management and participate in home ownership.

LHA is a partner with the Urban Development Department in trying to meet the needs for low-income housing. It will continue to help address the needs of public housing through its programs. Urban Development makes funding available to assist homeless families with security deposit assistance through the LHA. The LHA is a governmental entity established under state law, by the City of Lincoln, with a mission of providing affordable housing to the residents of Lincoln, Nebraska. The Housing Authority fulfills that mission by:

- o The ownership and/or management of 1,400+ units of affordable rental housing.
- o The administration of the 3,000 vouchers under the HUD Section 8 Housing Choice Voucher Program in Lancaster County
- o The operation of two home ownership programs
- o Tenant supportive services for the elderly, families and the Family self Sufficiency program
- o Community partnerships with other providers of housing and social services

Additionally, as a means of encouraging home ownership among public housing residents, the Lincoln Housing Authority operates two home ownership programs. The Lincoln Housing Authority has a down payment deferred loan program to assist with up to \$3,750 in down payment costs for home ownership for graduates of their Family Self-Sufficiency Program. Additionally, the LHA sells "at cost" a newly constructed home built in partnership with the Northeast High School Construction Program. One new home a year is sold. The Northeast High School home ownership program is a lease/purchase program allowing the tenant to build equity by "leasing" the unit for a five-year period before the tenant secures permanent financing. The Lincoln Housing Authority is participating in HUD's "Moving to Work" Demonstration program and has prepared an Annual Moving to Work Plan. The Plan outlines the Lincoln Housing Authority's program initiatives and goals.

2. The Lincoln housing Authority is not designated as troubled.

Barriers to Affordable Housing

1. Describe the actions that will take place during the next year to remove barriers to affordable housing.

Program Year 3 Action Plan Barriers to Affordable Housing response:

- o The City offers an impact fee exemption to low income, owner-occupied home buyers. This popular and successful program has been in existence for eight years. The funding source is the City's General Fund.

The purpose of the incentive is to provide home buyers with additional funds for down payment or payment of fees associated with originating their mortgage.

- In the *Strategic Plan FY 2005-2009*, one strategy identified to remove the barriers to affordable housing specified that the City would work with developers on ways to reduce the length of the development process. In March 2010, the City opened the Development Services Center (DSC). The DSC is a centralized location with the capacity to meet efficiently all the development services needs for builders, developers and property owners. This centrally located function includes staff from five departments including Urban Development.
- The Urban Development Department and NeighborWorks® Lincoln have established programs to help finance the rehabilitation of owner-occupied units in the NRSA and LMI areas that help to remove or ameliorate the negative effects that some public policies may have on affordable housing.

HOME/ American Dream Down payment Initiative (ADDI)

1. Describe other forms of investment not described in § 92.205(b).
2. If the participating jurisdiction (PJ) will use HOME or ADDI funds for homebuyers, it must state the guidelines for resale or recapture, as required in § 92.254 of the HOME rule.
3. If the PJ will use HOME funds to refinance existing debt secured by multifamily housing that is that is being rehabilitated with HOME funds, it must state its refinancing guidelines required under § 92.206(b). The guidelines shall describe the conditions under which the PJ will refinance existing debt. At a minimum these guidelines must:
 - a. Demonstrate that rehabilitation is the primary eligible activity and ensure that this requirement is met by establishing a minimum level of rehabilitation per unit or a required ratio between rehabilitation and refinancing.
 - b. Require a review of management practices to demonstrate that disinvestments in the property has not occurred; that the long-term needs of the project can be met; and that the feasibility of serving the targeted population over an extended affordability period can be demonstrated.
 - c. State whether the new investment is being made to maintain current affordable units, create additional affordable units, or both.
 - d. Specify the required period of affordability, whether it is the minimum 15 years or longer.
 - e. Specify whether the investment of HOME funds may be jurisdiction-wide or limited to a specific geographic area, such as a neighborhood identified in a neighborhood revitalization strategy under 24 CFR 91.215(e)(2) or a Federally designated Empowerment Zone or Enterprise Community.
4. State that HOME funds cannot be used to refinance multifamily loans made or insured by any federal program, including CDBG.

If the PJ is going to receive American Dream Down payment Initiative (ADDI) funds, please complete the following narratives:

 - a. Describe the planned use of the ADDI funds.
 - b. Describe the PJ's plan for conducting targeted outreach to residents and tenants of public housing and manufactured housing and to other families assisted by public housing agencies, for the purposes of ensuring that the ADDI funds are used to provide down payment assistance for such residents, tenants, and families.
 - c. Describe the actions to be taken to ensure the suitability of families receiving ADDI funds to undertake and maintain home ownership, such as provision of housing counseling to homebuyers.

Program Year 3 Action Plan HOME/ADDI response:

1. The City of Lincoln does not use any other form of investment not described in 92,205(b).

2. The HOME funds will be used to assist home buyers. The City of Lincoln uses the recapture provisions in the homebuyer programs. Buyers that purchase in the LMI areas or NRSA will follow the recapture provision option described in 24 CFR 92.254 (ii)(A)(2) which is reduction during the affordability period. Urban Development's jurisdiction reduces the HOME investment amount to be recaptured on a prorata basis for the time the homeowner has owned and occupied the housing measured against the required affordability period. Buyers that purchase outside the LMI or NRSA but inside the jurisdiction's limits will follow the recapture options described in 24 CFR 92.254 (ii)(A)(1) which is recapturing the entire amount of HOME investment from the home owner.
3. The City of Lincoln will not use HOME funds to refinance existing debt secured by multifamily housing that is being rehabilitated with HOME funds.
4. The City of Lincoln does not receive American Dream Down payment Initiative (ADDI) funds.

The City of Lincoln's excess HOME match carried over from last fiscal year is over 5.2 million dollars. Each year we obtain additional HOME match from donated construction materials and labor from Habitat for Humanity projects. The amount is reported annually in the CAPER using form HUD-40107-A

HOMELESS

Specific Homeless Prevention Elements

*Please also refer to the Homeless Needs Table in the Needs.xls workbook.

1. Sources of Funds—Identify the private and public resources that the jurisdiction expects to receive during the next year to address homeless needs and to prevent homelessness. These include the McKinney-Vento Homeless Assistance Act programs, other special federal, state and local and private funds targeted to homeless individuals and families with children, especially the chronically homeless, the HUD formula programs, and any publicly-owned land or property. Please describe, briefly, the jurisdiction's plan f
2. Homelessness—In a narrative, describe how the action plan will address the specific objectives of the Strategic Plan and, ultimately, the priority needs identified. Please also identify potential obstacles to completing these action steps.
3. Chronic homelessness—The jurisdiction must describe the specific planned action steps it will take over the next year aimed at eliminating chronic homelessness by 2012. Again, please identify barriers to achieving this.
4. Homelessness Prevention—The jurisdiction must describe its planned action steps over the next year to address the individual and families with children at imminent risk of becoming homeless.
5. Discharge Coordination Policy—Explain planned activities to implement a cohesive, community-wide Discharge Coordination Policy, and how, in the coming year, the community will move toward such a policy.

Program Year 3 Action Plan Special Needs response:

1. Sources of Funds—identify the private and public resources that the jurisdiction expects to receive during the next year to address homeless needs and to prevent homelessness.

Lincoln's CoC members and homeless service providers obtain funding from a variety of Federal, State, and Local funders, in addition to support from foundations and the United Way. Although current funding announcements have not been announced by the State, Lincoln agencies typically receive approximately \$375,000 in McKinney-Vento funding each year. In addition, five agencies have successfully maintained \$1.6 million in renewal funding via HUD's CoC grant. Furthermore, Lincoln's CoC obtained bonus funding in this year's (FY11) competition for a Permanent Supportive Housing project at CenterPointe, which represents the second year in a row that Lincoln's CoC has met the grant scoring threshold for funding new projects. The bonus funding will be used to provide four units of permanent supportive housing.

The Lincoln CoC will continue to actively and aggressively pursue bonus funding opportunities should they be available via the annual HUD CoC grant competition, in addition to continually looking for new funding opportunities to meet growing homeless needs.

2. Homelessness—In a narrative, describe how the action plan will address the specific objectives of the Strategic Plan and, ultimately, the priority needs identified.

Lincoln's strategic plan identifies goals in six key areas that reflect the continuum of homeless services: homeless prevention, emergency shelter, transitional housing, permanent supportive housing, chronic homelessness, and data and reporting made available via the Homeless Management Information System (HMIS).

These goals are the result of a thorough discussion and collaboration with Lincoln's CoC, and additional input from human service providers, neighborhood advocates, and other community members.

The City of Lincoln does not provide direct funding to homeless service providers. However, the Action Plan provides a framework for continued collaboration between the City of Lincoln and Lincoln's CoC, to continue progress towards meeting homeless goals and objectives.

3. Chronic homelessness—The jurisdiction must describe the specific planned action steps it will take over the next year aimed at eliminating chronic homelessness by 2012. Again, please identify barriers to achieving this.

Lincoln's CoC does not have a local 10 Year Plan to End Chronic Homelessness, but has formally adopted and implemented the state plan, i.e., *Nebraska's Action Plan for Increasing Access to Mainstream Resources for People Experiencing Chronic Homelessness*. The central goals to combating chronic homelessness include: 1) Maintaining a community wide infrastructure to lead in planning and to increase access to mainstream resources for persons experiencing chronic homelessness; 2) Create additional appropriate Supportive Housing Choices; 3) Increase access to mainstream services and resources; 4) Increase strategies addressing prevention and discharge planning; 5) Ensure culturally competent services; and 6) Data collection and evaluation.

Further details on Lincoln's chronic homeless population and individual strategies and action steps are reported in *Lincoln's FY2011 Continuum of Care (CoC) Exhibit 1*, available at www.lincoln.ne.gov, keyword: homeless. In addition, Lincoln's CoC completed a planning document as part of HUD's CoC Check Up process. That document is also available at the city's website, keyword: checkup.

4. Homelessness Prevention—The jurisdiction must describe its planned action steps over the next year to address the individual and families with children at imminent risk of becoming homeless.

Although federal funding for the Homeless Prevention and Rapid Re-Housing Program (HPRP) has ended, Lincoln will continue to carry out the general framework of the program utilizing existing resources. The HPRP advisory board will continue to meet monthly. The CoC Executive Committee is working on

outreach strategies with local funders to identify additional funding for the program. See *Lincoln's FY2011 Continuum of Care (CoC) Exhibit 1*, sections 3A – 3C.

Urban Development also provides funding to the Lincoln Housing Authority for the Security Deposit Assistance Program. This HOME funded program provides financial assistance to homeless or near-homeless families for rental security deposits.

Project	Matrix Code	Goals Per Year			Total
		FY 10	FY11	FY 12	
Security Deposit Assistance Program	05T Security Deposits	45	45	45	135 Households

5. **Discharge Coordination Policy**—Explain planned activities to implement a cohesive, community-wide Discharge Coordination Policy, and how, in the coming year, the community will move toward such a policy.

Discharge coordination policy and planning is a key component of *Nebraska's Action Plan for Increasing Access to Mainstream Services for People Experiencing Chronic Homelessness*. The plan is built on four primary strategies, including efforts to foster coordination between corrections and the State Department of Health & Human Services, particularly for those individuals discharging from corrections with behavioral health issues or HIV/AIDS; researching the issue of individuals who cycle through Lancaster County jail terms; identifying and examining data on youth who age out or are discharged from state custody, i.e., foster care; and lastly, identifying the scope of the difficulties and issues of individuals discharging from hospitals, including the limits and legal responsibilities of hospitals and psychiatric facilities, with an eye towards the development of comprehensive housing planning and additional support service coordination.

Additional information on discharge planning can be found in Lincoln's 2010 CoC Exhibit 1 Application available at www.lincoln.ne.gov, keyword: homeless.

Emergency Shelter Grants (ESG)

(States only) Describe the process for awarding grants to State recipients, and a description of how the allocation will be made available to units of local government.

Program Year 3 Action Plan ESG response:

The City of Lincoln does not receive Emergency Shelter Grants

COMMUNITY DEVELOPMENT

Community Development

*Please also refer to the Community Development Table in the Needs.xls workbook.

1. Identify the jurisdiction's priority non-housing community development needs eligible for assistance by CDBG eligibility category specified in the Community Development Needs Table (formerly Table 2B), public facilities, public improvements, public services and economic development.

2. Identify specific long-term and short-term community development objectives (including economic development activities that create jobs), developed in accordance with the statutory goals described in section 24 CFR 91.1 and the primary objective of the CDBG program to provide decent housing and a suitable living environment and expand economic opportunities, principally for low- and moderate-income persons.

*Note: Each specific objective developed to address a priority need, must be identified by number and contain proposed accomplishments, the time period (i.e., one, two, three, or more years), and annual program year numeric goals the jurisdiction hopes to achieve in quantitative terms, or in other measurable terms as identified and defined by the jurisdiction.

Program Year 3 Action Plan Community Development response:

1. Identify the jurisdiction's priority non-housing community development needs eligible for assistance by CDBG eligibility category specified in the Community Development Needs Table (formerly Table 2B), public facilities, public improvements, public services and economic development.

The City of Lincoln non-housing priority needs are listed below. Please also refer to the 'Community Dev' Table in the 'Third Year Action Plan Attachments.'

Public Facilities and Improvements	
Community Development Need	Priority
Parks and/or Recreation Facilities	High
Tree Planting	High
Flood Drainage Improvements	High
Street Improvements	High
Sidewalks	High
Other	High

Public Services	
Community Development Need	Priority
Transportation Services	High
Employment/Training services	High
Neighborhood Clean-ups	High
Other Services	High

Economic Development	
Community Development Need	Priority
CI Land Acquisition/Disposition	Low
CI Infrastructure Development	Low
CI Building Acquisition, Construction, rehabilitation	Low
Other Commercial/Industrial Improvements	Low
ED Assistance to For-Profit	Low
ED Technical Assistance	Low
Micro-enterprise Assistance	Low

In the Strategic Plan, priority needs were identified through the public involvement process and by considering local and federal priority areas. The resulting priority areas were determined:

- Sustainability – including urban agriculture (community gardens), promoting green space and healthy activities, and rain gardens.
- Food security – due to the rising problem of low-income families not having enough food.
- Increasing neighborhood livability – through physical improvements to neighborhoods.
- Fair housing – completing and implementing the Analysis of Impediments to Fair Housing.

- Transportation – improving availability for low income people.
 - Jobs – training for low-income people.
2. Identify specific long-term and short-term community development objectives (including economic development activities that create jobs), developed in accordance with the statutory goals described in section 24 CFR 91.1 and the primary objective of the CDBG program to provide decent housing and a suitable living environment and expand economic opportunities, principally for low- and moderate-income persons.

Note: Each specific objective developed to address a priority need, must be identified by number and contain proposed accomplishments, the time period (i.e., one, two, three, or more years), and annual program year numeric goals the jurisdiction hopes to achieve in quantitative terms, or in other measurable terms as identified and defined by the jurisdiction.

The long-term non-housing community development goal is to provide opportunities and services for low- and moderate-income people, increase job opportunities, enhance the quality of life, and provide a suitable living environment including quality neighborhoods.

Short-term objectives to help meet the long-term goal were developed based on priority needs and projects developed to meet those needs. Objectives and activities are listed below. Also see the ‘Community Dev’ Table in the “Third Year Action Plan Attachments.”

Public Facilities and Improvements Objectives

Objective 1: Further the City’s priorities of Antelope Valley and Stronger Safer Neighborhoods. This objective addresses the priority area of Increasing Neighborhood Livability.

- Activity 1: Complete public improvements in the Malone neighborhood and South Capitol area (Near South and Everett neighborhoods) that implement projects identified in Focus Area Plans, “closer to home” strategies identified in the Antelope Valley and South Capitol Redevelopment Plans and from Free to Grow. Projects include curbs, alleys, gutters, lighting and streetscape projects, sidewalk improvements, and tree planting.

Project	Matrix Code, National Objective	Goals Per Year			Total
		FY 10	FY11	FY 12	
Gravel Alleys	03K Street Improvements 570.201(c)	10	10	10	30 Alleys
Streetscape Projects	03L Sidewalks 570.210(c)	2 1	Continue Year 1	Continue Year 1	1 Projects
Sidewalk Improvements	03L Sidewalks 570.201(c)	1	1	1	3 Projects
Tree Planting	03N Tree Planting 570.201(c)	2	2	2	6 Projects

- Activity 2: Support and utilize the Free to Grow Program in the Malone Neighborhood and South Capitol Area. Free to Grow is a neighborhood revitalization program with partners from the private, non-profit and public sectors working together to help develop solutions to problems that contribute to crime, an unhealthy environment, and substandard housing. No federal funds are allocated to this activity, other than Community Development Division staff time.

Objective 2: Implement sustainability projects consistent with the Mayor’s Energy and Sustainability Policy, and federal emphasis on sustainability. This objective addresses the priority area of Sustainability.

- Activity 1: Complete park improvement projects in LMI neighborhoods. Recreation and access to open spaces and open lands is an element of sustainable communities by promoting green space and healthy activities.

Project	Matrix Code, National Objective	Goals Per Year			Total
		FY 10	FY11	FY 12	
Park Improvements in LMI Neighborhoods	03F Parks, Recreational Facilities 570.210(c)	1	1	1	3 Parks

o Activity 2: Design and install rain gardens in LMI parks, other property owned by the public, and by non-profit organizations. A growing awareness of the pollutants in rainwater has led to the use of rain gardens – depressions planted with native or adapted plants that help absorb excess water and filter out excess nutrients before entering the groundwater system. This activity complements the City of Lincoln, Watershed Management Division of Public Works and Utilities Department’s rain garden grant program for private property owners.

Project	Matrix Code, National Objective	Goals Per Year			Total
		FY 10	FY11	FY 12	
Construct Rain Gardens	03I Flood Drain Improvements 570.210(c)	10	10	10 2	30-22 Projects

Objective 3: Improve the livability of core neighborhoods by improving general neighborhood conditions. This objective addresses the priority area of Increasing Neighborhood Livability.

o Activity 1: Provide non-federal funding for LMI neighborhood self-help grants for clean-up and improvement projects. No federal funds are allocated to this activity, other than Community Development Division staff time.

o Activity 2: *(Eliminated in FY 12 due to cuts in federal funding).* Continue to fund the Tree Management and the Demolition of Secondary Structures Programs. The Tree Management Program provides grants to low-income property owners and investors with low-income tenants for removal of dead and potentially dangerous branches or removal of dead or dangerous trees. The Demolition of Secondary Structures Program provides grants to low-income people for the removal of substandard and dangerous buildings.

Project	Matrix Code, National Objective	Goals Per Year			Total
		FY 10	FY11	FY 12	
Tree Management	06 Interim Assistance 570.210(f)	6	6	0	12 Trees
Demolition of Secondary Structures	04 Clearance and Demolition 570.201(d)	1	1	0	2 Structures

Public Services Objectives

Objective 1: Increase food security for low-income people and families. This objective addresses the priority areas of Sustainability and Food Security.

o Activity 1: Support Community CROPS in the installation and operation of community gardens and orchards in LMI parks, other property owned by the public, and by non-profit organizations. The lack of food and accompanying issue of hunger is a priority need in Lincoln. In addition to providing food for low-income people, community gardens further sustainability by promoting urban agriculture, reducing transportation costs for food, and encourages healthy and ecologically sound food production.

Project	Matrix Code, National Objective	Goals Per Year			Total
		FY 10	FY11	FY 12	
Construct and Operate Community Gardens and Orchards	03 Public Facilities and Improvements (General) 570.201(c)	60	60	60	180 People

~~Activity 2: Provide scholarships to low income people to rent garden space in community gardens. This activity deleted by plan amendment January, 2011. Community CROPS did not have enough staff to complete the immigration status verification required by law for all applicants. Funds moved to Activity 3, below.~~

Project	Matrix Code, National Objective	Goals Per Year			Total
		FY 10	FY11	FY 12	
Provide Scholarships	05 Public Services (General) 570.201(e)	100	100	100	300 People

Activity 3: Support operations for Community CROPS.

Project	Matrix Code, National Objective	Goals Per Year			Total
		FY 10	FY11	FY 12	
Operations Support for Community CROPS	05 Public Services (General) 570.201(e)	1,500	1,500	1,500	4,500 People

~~Objective 2: Provide services and support for returning veterans and their families. This objective addresses the priority areas of Sustainability and Food Security and a HUD area of emphasis.~~

~~Activity 1: Install a Community Garden designated exclusively for veterans and their families. (Eliminated in FY 12 due to lack of local support).~~

Objective 3: Work to improve transportation options for low-income people. This objective addresses the priority area of Transportation – improving availability for low-income people.

Activity 1: Support CSI transportation goals for low income people by continuing to serve on the grant selection committee for Federal Transit Administration Job Access & Reverse Commute (JARC) and New Freedom funds. These programs are intended to expand transportation services for the elderly, low income or disabled residents in the Lincoln area. No federal funds are allocated to this activity, other than Community Development Division staff time.

Objective 4: Increase job opportunities for low-income people. This objective addresses the priority area of Jobs – training for low-income people.

Activity 1: Provide funding to support One Stop Employment Solutions.

It is worth noting is that the Nebraska Department of Labor has designated a portion of the City of Lincoln as an “area of substantial unemployment.” An area of substantial unemployment (ASU) is defined as a contiguous area with a current population of at least 10,000 and an average unemployment rate of at least 6.5 percent for the month reference period (July 2009 – June 2010 and July, 2010 to June 2012).

This ASU designation is a factor in the distribution of Workforce Investment Action (WIA) funds and means an increase in funding for adults, dislocated workers and youth programs for the program year that began July 1, 2010.

Project	Matrix Code, National Objective	Goals Per Year			Total
		FY 10	FY11	FY 12	
Funding for One Stop Employment Solutions	05H Employment Training 570.201(e)	14	14	14	42 People

Objective 5: Continue to work toward reducing poverty in Lincoln.

- Activity 1: Continue staff participation with CSI and with other agencies, boards and committees working to reduce poverty in Lincoln. Although not specifically identified as a priority area in the plan development process, reducing poverty is an on-going priority for HUD and Urban Development. No federal funds are allocated to this activity, other than Community Development Division staff time.

Economic Development Objectives

In the 2008 City outcome-based budget process, economic development was rated a low-priority since it was identified as a Tier 3 (lowest priority) activity. This does not mean it is a low priority for the city as a whole, just for Urban Development Department involvement, and it was eliminated in 2009. Participants in the process generally believed that economic development activities are best pursued by other agencies, City departments and the private sector.

- Activity 1: Continue the administration of outstanding economic development loans. Establish a relationship with the City Law Department in dealing with outstanding loans.

Additional Community Development Division Objectives

Objective 1: Actively work to further Fair Housing. This objective addresses the priority area of Fair Housing – completing and implementing the Analysis of Impediments to Fair Housing.

- Activity 1: Continue and complete the update of the Analysis of Impediments to Fair Housing.
- Activity 2: Educate City staff and elected officials about fair housing laws and the benefits of equal access to affordable housing through the annual Civil Rights Conference hosted by the Lincoln Commission on Human Rights.
- Activity 3: Continue staff participation on the Civil Rights Conference planning committee.

Objective 2: Continue support for the Homeless Management Information System (HMIS). HMIS is an electronic data collection system that stores longitudinal person-level information about people who access the homeless services system. Although not specifically identified as a priority area in the plan development process, addressing homelessness issues is an on-going priority for HUD and Urban Development.

- Activity 1: Continue to fund HMIS.

Project	Matrix Code, National Objective	Goals Per Year			Total
		FY 10	FY11	FY 12	
HMIS Funding	05 Public Services (General) 570.210(e)	10,000	10,000	10,000	Data for 30,000 People

Antipoverty Strategy

1. Describe the actions that will take place during the next year to reduce the number of poverty level families.

Program Year 3 Action Plan Antipoverty Strategy response:

Community Action Partnership (CAP) of Lancaster and Saunders Counties (formerly known as Lincoln Action Program - LAP) is a non-profit agency that has often been a leader in reducing poverty by working with

families in moving them towards self-sufficiency. Using a self-sufficiency assessment tool called FAT, Family Assessment Tool, family strengths are assessed and, using case management, families are helped in getting what they need to achieve self-sufficiency. They receive assistance in areas such as housing, employment, education, and nutrition. CAP will continue these efforts throughout the year.

Other agencies provide case management targeted at special populations such as people with developmental disabilities or illness, or people with substance abuse problems. Examples of such agencies are, St. Monica's, Fresh Start, Catholic Social Services, Cedar's Youth Services, CenterPointe, and Vocational Rehabilitation. These efforts are on-going and will continue throughout the plan year.

Another anti-poverty strategy is the Community Services Initiative (CSI) involving the City of Lincoln and the Human Services Federation. The overall purpose of CSI is to give the community direction in human services; to show how CSI work impacts the City of Lincoln and Lancaster County; and to ensure ongoing funding needs. Specifically, five broad goals have been identified:

- To identify the emerging issues and critical needs in the Health and Human Services delivery system based on accurate data.
- To develop plans to address those issues.
- To recognize where Lincoln is compared to its economic sister cities.
- To anticipate where the City might be three years from today in the Health and Human Services system.
- To share knowledge and intentions with funders, consumers, and the general public.

CSI is administered by the Human Services Federation, a consortium of health and human services agencies in Lincoln and Lancaster County. In order to meet these goals, the Federation is working with the CSI Implementation Coalitions specializing in the following four areas: 1) Stop Abuse (Family Violence), 2) Behavioral Health, 3) Early Child and Youth Development, and 4) Basic and Emergency Needs. Urban Development staff continues to be involved with the Basic and Emergency Needs Coalition.

Affordable housing is a primary goal for reducing poverty. In keeping with the goals, programs, and policies outlined in the Housing Section of the Strategic Plan and the Annual Action Plan, Urban Development works and coordinates with the agencies listed below in producing and preserving affordable housing stock:

- NeighborWorks[®] Lincoln through the Homebuyer Training Program, Troubled Property Program, and the First Home Program which assists with downpayment assistance.
- Affordable Housing Initiative and Habitat for Humanity
- The Lincoln Housing Authority which administers the Security Deposit Program.
- The League of Human Dignity which operates the Barrier Removal Program.
- Lincoln's CoC is an organization of homeless service providers, homeless individuals, and other community stakeholders. Organizational responsibilities include the development of Lincoln's annual CoC Grant, an agency peer review process, administering the "Point-in-Time" count, and strengthening collaboration and efficiency in services provided to the homeless.

Good paying jobs are also a priority goal for reducing poverty. The Workforce Investment Act of 1998, Public Law 105-220, states as its purpose "...to provide workforce investment activities, through statewide and local workforce investment systems, that increase the employment, retention, and earnings of participants, and increase occupational skill attainment by participants, and, as a result, improve the quality of the workforce, reduce welfare dependence, and enhance the productivity and competitiveness of the Nation." The Lincoln Workforce Investment Board (LWIB) oversees the implementation and operation of the Lincoln Area Workforce System and One Stop Career Center that offers expanded services to job seekers and area businesses. Funding will continue for the One Stop Career Center in the plan year.

NON-HOMELESS SPECIAL NEEDS HOUSING

Non-homeless Special Needs (91.220 (c) and (e))

*Please also refer to the Non-homeless Special Needs Table in the Needs.xls workbook.

1. Describe the priorities and specific objectives the jurisdiction hopes to achieve for the period covered by the Action Plan.
2. Describe how Federal, State, and local public and private sector resources that are reasonably expected to be available will be used to address identified needs for the period covered by this Action Plan.

Program Year 3 Action Plan Specific Objectives response:

1. Describe the priorities and specific objectives the jurisdiction hopes to achieve for the period covered by the Action Plan.

Objective: Create and Sustain Affordable Housing Opportunities for Special Needs Populations:

Support the ability of special needs populations (i.e., elderly, frail elderly, persons with disabilities, persons with alcohol or drug addiction, persons with HIV/AIDS) to live in permanent affordable housing situations.

- o Activity 1: Assist in maintaining the stock of rental and owner housing for special needs populations.
- o Activity 2: Assist in increasing the supply of rental and owner housing for special needs populations.

Provide technical assistance to non-profit and for-profit developers to finance housing projects for special needs populations and for elderly housing Tax Credit projects.

- o Activity 3: Enhance the provision of supportive housing services.
- o Activity 4: Remove regulatory barriers to housing for special needs populations.

2. Describe how Federal, State, and local public and private sector resources that are reasonably expected to be available will be used to address identified needs for the period covered by this Action Plan.

The City will also partner with various special needs providers to maintain and expand the housing units available to this population. The Nebraska Investment Finance Authority (NIFA) and the State Department of Economic Development (DED) are additional available resources for these projects.

Housing Opportunities for People with AIDS

*Please also refer to the HOPWA Table in the Needs.xls workbook.

1. Provide a Brief description of the organization, the area of service, the name of the program contacts, and a broad overview of the range/ type of housing activities to be done during the next year.
2. Report on the actions taken during the year that addressed the special needs of persons who are not homeless but require supportive housing, and assistance for persons who are homeless.
3. Evaluate the progress in meeting its specific objective of providing affordable housing, including a comparison of actual outputs and outcomes to proposed goals and progress made on the other planned actions indicated in the strategic and action plans. The evaluation can address any related program adjustments or future plans.
4. Report on annual HOPWA output goals for the number of households assisted during the year in: (1) short-term rent, mortgage and utility payments to avoid homelessness; (2) rental assistance programs; and (3) in housing facilities, such as community residences and SRO dwellings, where funds are used to develop and/or operate these facilities. Include any assessment of client outcomes for achieving housing stability, reduced risks of homelessness and improved access to care.

5. Report on the use of committed leveraging from other public and private resources that helped to address needs identified in the plan.
6. Provide an analysis of the extent to which HOPWA funds were distributed among different categories of housing needs consistent with the geographic distribution plans identified in its approved Consolidated Plan.
7. Describe any barriers (including non-regulatory) encountered, actions in response to barriers, and recommendations for program improvement.
8. Please describe the expected trends facing the community in meeting the needs of persons living with HIV/AIDS and provide additional information regarding the administration of services to people with HIV/AIDS.
9. Please note any evaluations, studies or other assessments that will be conducted on the local HOPWA program during the next year.

Program Year 3 Action Plan HOPWA response:

The City of Lincoln does not receive HOPWA funds.

Specific HOPWA Objectives

Describe how Federal, State, and local public and private sector resources that are reasonably expected to be available will be used to address identified needs for the period covered by the Action Plan.

Program Year 3 Specific HOPWA Objectives response:

The City of Lincoln does not receive HOPWA funds.

Other Narrative

Include any Action Plan information that was not covered by a narrative in any other section.

No additional information is needed.