

June 24, 2016

Mayor Beutler and City Council
City of Lincoln
City County Building
Lincoln, NE

Mayor Beutler and Members of the City Council:

An investigation has been made regarding the application of Wal-Mart Stores, Inc., dba Walmart Store #2432, 2500 Jamie Ln, requesting that Dale Dauenhauer be approved as the manager of the class D-102396 liquor license.

Mr. Dauenhauer completed the required alcohol management training on April 14, 2016.

Mr. Dauenhauer's criminal and driver history is as follows:

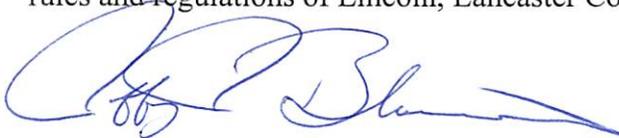
04-09-2014	Violate speed limit 11-15 MPH over	Infraction
03-23-2010	Violate speed limit 11-15 MPH over	Infraction
07-12-2009	No valid registration	Misdemeanor
10-16-2006	Violate speed limit 11-15 MPH over	Infraction
06-18-1997	Theft of a firearm (Moorehead, MN)	Gross Misdemeanor

The Clay County, MN County Attorney's Office was contacted to determine how Minnesota's misdemeanor classifications lined up with Nebraska's misdemeanor classifications. It was determined there are three classes of misdemeanors, 1) Petty Misdemeanor, 2) Misdemeanor, and 3) Gross Misdemeanor.

The Nebraska Liquor Control Commission Licensing Division believes a Gross Misdemeanor appears to be equal to a Class 1 Misdemeanor, however, theft is not an automatic disqualifier.

The application is included for your review.

If this application is approved, it should be with the understanding that it conforms to all the rules and regulations of Lincoln, Lancaster County and the State of Nebraska.

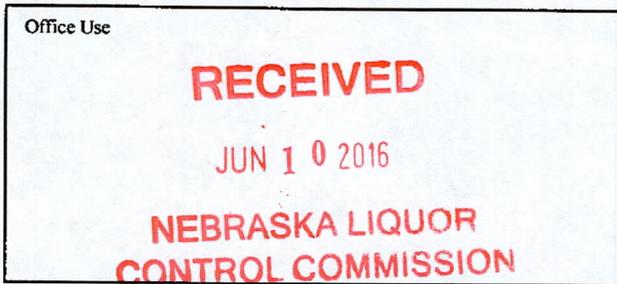


JEFFREY J. BLIEMEISTER, Chief of Police



**MANAGER APPLICATION
INSERT - FORM 3c**

NEBRASKA LIQUOR CONTROL COMMISSION
301 CENTENNIAL MALL SOUTH
PO BOX 95046
LINCOLN, NE 68509-5046
PHONE: (402) 471-2571
FAX: (402) 471-2814
Website: www.lcc.ne.gov



MUST BE:

- ✓ **Citizen of the United States. Include copy of US birth certificate, naturalization paper or current US passport**
- ✓ **Nebraska resident. Include copy of voter registration in the State of Nebraska**
- ✓ **Fingerprinted. See Form 147 for further information, this form **MUST** be included with your application.**
- ✓ **21 years of age or older**

Corporation/LLC information

Name of Corporation/LLC: Walmart Stores, Inc.

Premise information

Liquor License Number: 102396 Class Type D (if new application leave blank)

Premise Trade Name/DBA: Walmart #2432

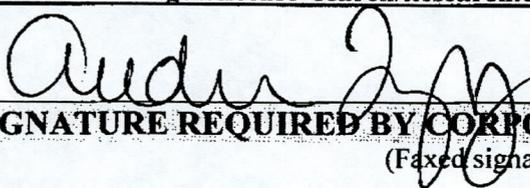
Premise Street Address: 2500 Jamie Lane

City: Lincoln County: Lancaster Zip Code: 68512

Premise Phone Number: 402-975-6171

Email address: amelia.schembra@walmart.com

The individual whose name is listed as a corporate officer or managing member as reported on insert form 3a or 3b or listed with the Commission. Click on this link to see authorized individuals. http://www.lcc.ne.gov/license_search/licsearch.cgi



SIGNATURE REQUIRED BY CORPORATE OFFICER / MANAGING MEMBER
(Faxed signatures are acceptable)

RECEIVED

Manager's information must be completed below PLEASE PRINT CLEARLY

Last Name: Dauenhauser First Name: NEBRASKA LIQUOR CONTROL COMMISSION MI: A

Home Address (include PO Box if applicable): 5310 NW 4th St.

City: Lincoln County: Lancaster Zip Code: 68521

Home Phone Number: (402) 241-5687 Business Phone Number: (402) 975-6171

Social Security Number: _____ Drivers License Number & State: _____

Date Of Birth: _____ Place Of Birth: Mayville, ND

Email address: Ddaueh.502432.us@wal-mart.com

Are you married? If yes, complete spouse's information (Even if a spousal affidavit has been submitted)

YES NO

Spouse's information

Spouses Last Name: Dauenhauser First Name: Elisabeth MI: E

Social Security Number: _____ Drivers License Number & State: _____ *ncastg*

Date Of Birth: _____ Place Of Birth: Omaha, NE

APPLICANT & SPOUSE MUST LIST RESIDENCE(S) FOR THE PAST TEN (10) YEARS

APPLICANT SPOUSE

CITY & STATE	YEAR FROM	YEAR TO	CITY & STATE	YEAR FROM	YEAR TO
<u>Lincoln, NE</u>	<u>2006</u>	<u>Present</u>			
<u>Fremont, NE</u>	<u>1999</u>	<u>2006</u>			

MANAGER'S LAST TWO EMPLOYERS

YEAR FROM	TO	NAME OF EMPLOYER	NAME OF SUPERVISOR	TELEPHONE NUMBER
1999	Present	Walmart	Alan Matulka	
1997	1999	Brown's Home Health	Chad Brown	Out of Business

1. READ CAREFULLY. ANSWER COMPLETELY AND ACCURATELY.

Must be completed by both applicant and spouse, unless spouse has filed an affidavit of non-participation.

Has anyone who is a party to this application, or their spouse, EVER been convicted of or plead guilty to any charge. Charge means any charge alleging a felony, misdemeanor, violation of a federal or state law; a violation of a local law, ordinance or resolution. List the nature of the charge, where the charge occurred and the year and month of the conviction or plea. Also list any charges pending at the time of this application. If more than one party, please list charges by each individual's name.

YES
 NO

If yes, please explain below or attach a separate page.

Name of Applicant	Date of Conviction (mm/yyyy)	Where Convicted (City & State)	Description of Charge	Disposition
Dale Dauenhauss	cannot remember date.		Speeding ticket	
	3 speeding tickets			

2. Have you or your spouse ever been approved or made application for a liquor license in Nebraska or any other state?

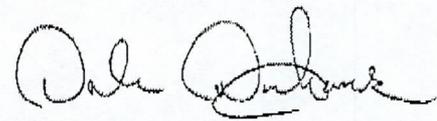
YES
 NO

IF YES, list the name of the premise(s):

3. Do you, as a manager, qualify under Nebraska Liquor Control Act (§53-131.01) and do you intend to supervise, in person, the management of the business?

YES
 NO

In the summer of 1996 a friend of mine had asked me to help him move his sister out of an apartment that was not a good situation for her. My friend had said that one of the issues was that her roommates had owed her a lot of money for rent or something like that. While we were moving her things out my friend had come across a collectors edition old pistol of some kind. I did not know what kind then and still do not know what kind it was. He said he was going to take the pistol as collateral to get her roommates to pay her the money that was owed to her. I should have said something but I did not and we finished moving her out of the apartment. Later that night we each received a call from the police department about this and said that he did have it. We returned the pistol the next morning. We were both given a misdemeanor which came with a fine and community service.



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**NEBRASKA LIQUOR
CONTROL COMMISSION**

4. List the alcohol related training and/or experience (when and where) of the person making application.

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*NLCC Training Certificate Issued: 4/14/16 Name on Certificate: Dale Sauenbauer JUN 10 2016

NEBRASKA LIQUOR CONTROL COMMISSION

Applicant Name	Date (mm/yyyy)	Name of program (attach copy of course completion certificate)
Dale Sauenbauer	04/2016	Responsible Hospitality Council Management Training

*For list of NLCC Certified Training Programs see www.lcc.ne.gov/traininginfo.html

Experience:

Applicant Name / Job Title	Date of Employment:	Name & Location of Business:
Dale Sauenbauer Store Manager	1999 - Present	Walmart - Omaha & Lincoln Nebraska

5. Have you enclosed Form 147 regarding fingerprints?

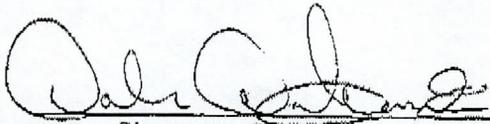
YES NO

PERSONAL OATH AND CONSENT OF INVESTIGATION

The above individual(s), being first duly sworn upon oath, deposes and states that the undersigned is the applicant and/or spouse of applicant who makes the above and foregoing application that said application has been read and that the contents thereof and all statements contained therein are true. If any false statement is made in any part of this application, the applicant(s) shall be deemed guilty of perjury and subject to penalties provided by law. (Sec §53-131.01) Nebraska Liquor Control Act.

The undersigned applicant hereby consents to an investigation of his/her background including all records of every kind and description including police records, tax records (State and Federal), and bank or lending institution records, and said applicant and spouse waive any rights or causes of action that said applicant or spouse may have against the Nebraska Liquor Control Commission and any other individual disclosing or releasing said information to the Nebraska Liquor Control Commission. If spouse has NO interest directly or indirectly, a spousal affidavit of non participation may be attached.

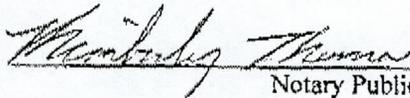
The undersigned understand and acknowledge that any license issued, based on the information submitted in this application, is subject to cancellation if the information contained herein is incomplete, inaccurate, or fraudulent.

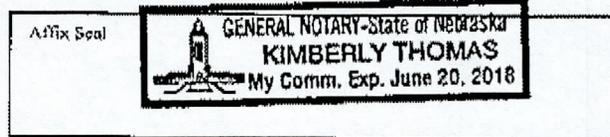

Signature of Manager Applicant


Signature of Spouse

ACKNOWLEDGEMENT

State of Nebraska
County of Lawrence The foregoing instrument was acknowledged before me this
6/15/16 by Dale & Elisabeth Dauenhauer
date name of person acknowledged


Notary Public signature



In compliance with the ADA, this application is available in other formats for persons with disabilities. A ten day advance period is required in writing to produce the alternate format.

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JUN 15 2016
NEBRASKA LIQUOR CONTROL COMMISSION

Print Form

SPOUSAL AFFIDAVIT OF NON PARTICIPATION INSERT

NEBRASKA LIQUOR CONTROL COMMISSION
301 CENTENNIAL MALL SOUTH
PO BOX 95046
LINCOLN, NE 68509-5046
PHONE: (402) 471-2571
FAX: (402) 471-2814
Website: www.lcc.ne.gov

Office Use

I acknowledge that I am the spouse of a liquor license holder. My signature below confirms that I will have not have any interest, directly or indirectly in the operation or profit of the business (§53-125(13)) of the Liquor Control Act. I will not tend bar, make sales, serve patrons, stock shelves, write checks, sign invoices or represent myself as the owner or in any way participate in the day to day operations of this business in any capacity. I understand my fingerprint will not be required; however, I am obligated to sign and disclose any information on all applications needed to process this application.

[Signature]

Signature of spouse asking for waiver
(Spouse of individual listed below)

Elisabeth Dauenhauser

Printed name of spouse asking for waiver

State of Nebraska

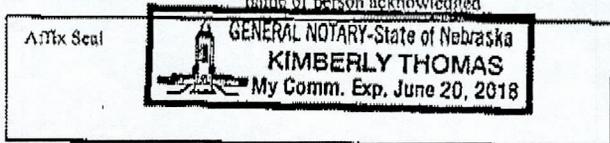
County of Lancaster

6/15/16
date

The foregoing instrument was acknowledged before me this

by Dale and Elisabeth Dauenhauser
name of person acknowledged

[Signature]
Notary Public signature



I acknowledge that I am the spouse of the above listed individual. I understand that my spouse and I are responsible for compliance with the conditions set out above. If it is determined that the above individual has violated (§53-125(13)) the Commission may cancel or revoke the liquor license.

[Signature]

Signature of individual involved with application
(Spouse of individual listed above)

Dale Dauenhauser

Printed name of applying individual

State of Nebraska

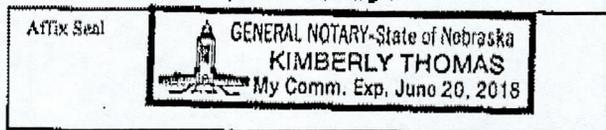
County of Lancaster

6/15/16
date

The foregoing instrument was acknowledged before me this

by Dale and Elisabeth Dauenhauser
name of person acknowledged

[Signature]
Notary Public signature



In compliance with the ADA, this spousal affidavit of non participation is available in other formats for persons with disabilities. A ten day advance period is requested in writing to produce the alternate format.

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FORM 35-4178
Revised 1/2008

NEBRASKA LIQUOR CONTROL COMMISSION

SUBMISSION OF FINGERPRINTS / PAYMENT OF FEES TO NSP-CID

NEBRASKA LIQUOR CONTROL COMMISSION
301 CENTENNIAL MALL SOUTH
PO BOX 95046
LINCOLN, NE 68509-5046
PHONE: (402) 471-2571
FAX: (402) 471-2814
Website: www.lcc.ne.gov

Office Use Only	
Class: _____	License #: _____

Applicant Name: Sale Lauenhauser
(Corporation, LLC, Partnership or Individual)

Trade Name: Walmart # 2132
(Doing Business As)

(402) 975-6171
Phone Number

Blazenice@aol.com - personal
dlauenha.5022432.us@wal-mart.com
Contact E-mail Address

DIRECTIONS FOR SUBMITTING FINGERPRINTS AND FEE PAYMENTS:

- See New Application Requirement Guide for listing of Fingerprint Requirements, found on our website under "Licensing" tab in "Brochures".
- Fingerprints taken at NSP locations will be forwarded to NSP – CID:
Applicant(s) will not have cards to include with license application.
- Fingerprints taken at local law enforcement offices will be released to the applicants;
Fingerprint cards should be submitted with the application.
- Fee payment of **\$28.75 per person** must be made directly to the NSP;
You may submit the payment through the NSP PayPort online system at www.ne.gov/go/nspp or checks made payable to NSP should be mailed directly to the following address:
The Nebraska State Patrol – CID Division
3800 NW 12th Street
Lincoln, NE 68521
- **DO NOT** send fee payments to the NLCC – fees **MUST** be paid directly to NSP;
Include a list of names covered by your payment to insure proper application of payment.
- This completed form **MUST** be included with your Liquor License Application and/or Manager Application or Changes to: Corporate Officers or Stockholders, LLC Members, Partners or Addition of Spouse where new fingerprint cards are required (see New Application Requirement Guide).
- Fingerprints are not required for spouses that have no involvement with business - Spousal Affidavit of Non Participation (Form 116) is required in lieu of fingerprints.

Please complete information on the following pages for EACH person fingerprinted.

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NEBRASKA LIQUOR CONTROL COMMISSION

FORM 147
REV FEB 2015
PAGE 1